

The State of the Field in Accessible Court Electronic Filing

Report on Two National Surveys

**Electronic Filing and Access to Justice
Best Practices Project**

Reporter: Richard Zorza, richard@zorza.net

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I. Introduction

Electronic filing of court documents is becoming a permanent part of the legal landscape. Electronic or e-filing will have a transformative effect on how the public and attorneys access courts and thus on access to justice for all.

This Report summarizes the results of two national surveys conducted in the fall of 2012 about the status and accessibility of planned and deployed electronic filing systems. The information from those surveys, conducted by the Electronic Filing and Access to Justice Best Practices Project, was a major input into the Best Practices Document generated by the Project.¹

One survey was sent to State Court Administrators, and resulted in information about 50 different e-filing projects in 35 states.² The other survey was sent to state access to justice commissions, and resulted in information from 26 states.³ Unless explicitly noted, the information cited to comes from the Court Survey, which was significantly more detailed and received more responses.

Overall, the results from the Survey confirmed the impression gathered from the discussions in the Project's Advisory Group: Electronic filing is moving forward, and the courts are working hard to ensure its accessibility. However, there is wide variety of approaches being tested, and courts are in need of, and appreciate clear exposition of options and opportunities. It is hoped that distribution of these survey results will be of help as states choose between these options and work to maximize access.

¹ The Project has been led by a consultant, with funding from the Legal Services Corporation to the Central Minnesota Legal Services, and with extensive input from an Advisory Group, working in close contact with the Self-Represented Litigation Network. The members of the Advisory Group are listed in Appendix One to the Best Practices document. It should be noted, however, that while the Best Practices document represents detailed and extensive input from the Advisory Group, and this Report was prepared with their input, the views expressed in both documents are not necessarily those of any individual members of the Advisory Group, of the organizations with which they are associated, of the members of the Self-Represented Litigation Network, of the Legal Services Corporation, or of Central Minnesota Legal Services. Special thanks to the Advisory Group, the Legal Services Corporation, Central Minnesota Legal Services, and the cooperating Minnesota Courts.

² The states and numbers of projects in each state are listed in Appendix I. It should be noted that California sent in a consolidated response which was counted as one. To the extent that California's approximately 15 projects are not typical of the projects as a whole, this would distort the results.

³ In those states that do not have such Commissions, legal aid leaders were approached to complete the survey.

II. Current Status of E-filing Projects

The following chart shows the current status of the 49 projects about which we received data:

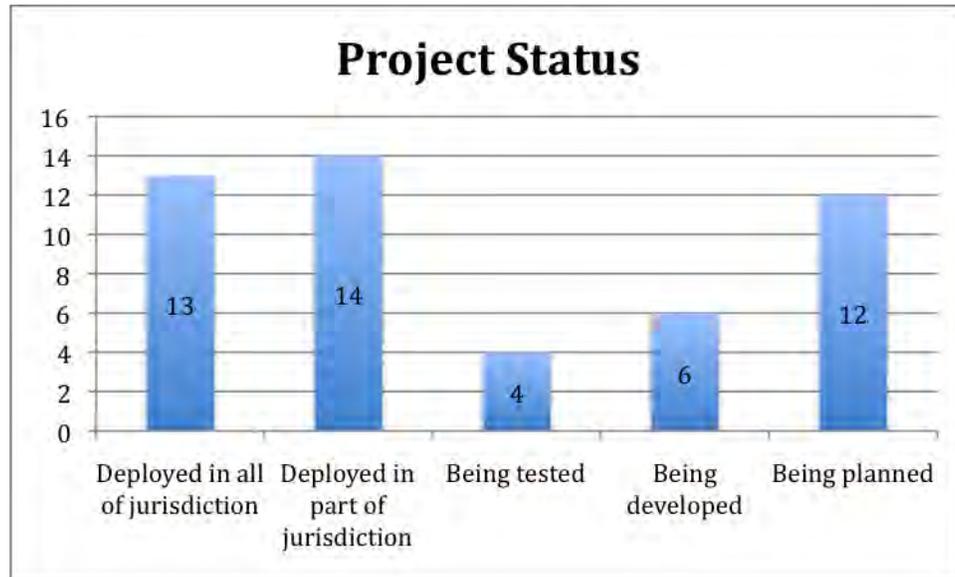


Figure 1: Status of E-Filing Projects

At least in terms of the 49 reporting projects, the modal status is “partial deployment.” Approximately 75% of projects are in some stage of actual development, testing or deployment, but only about 40% are actually in place.⁴

The chart below shows the jurisdiction of the courts reporting e-filing projects.

⁴ Additional state and project status information is in *Appendix II, Status and Vendor Roles*.

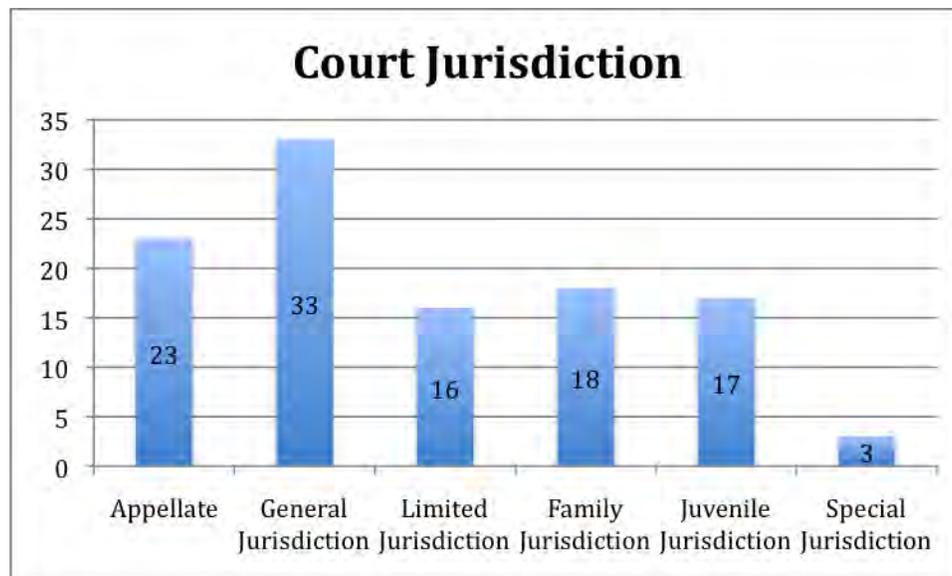


Figure 2: Projects by Court Jurisdiction

The high number of appellate projects is noteworthy. It is significant that over half the projects included general jurisdiction cases.

The next chart shows the broad range of substantive areas that these e-filing projects cover.

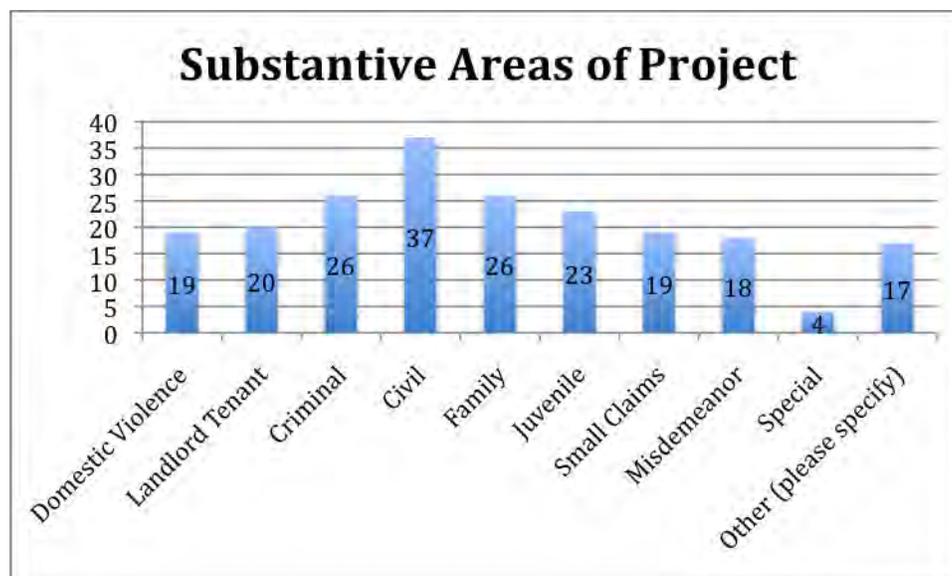


Figure 3: Projects by Substantive Area

These numbers seem generally broadly distributed, and do not show any particular substantive concentration.

III. Role of Vendors

As the chart below shows, there is a wide variety of vendor development roles.

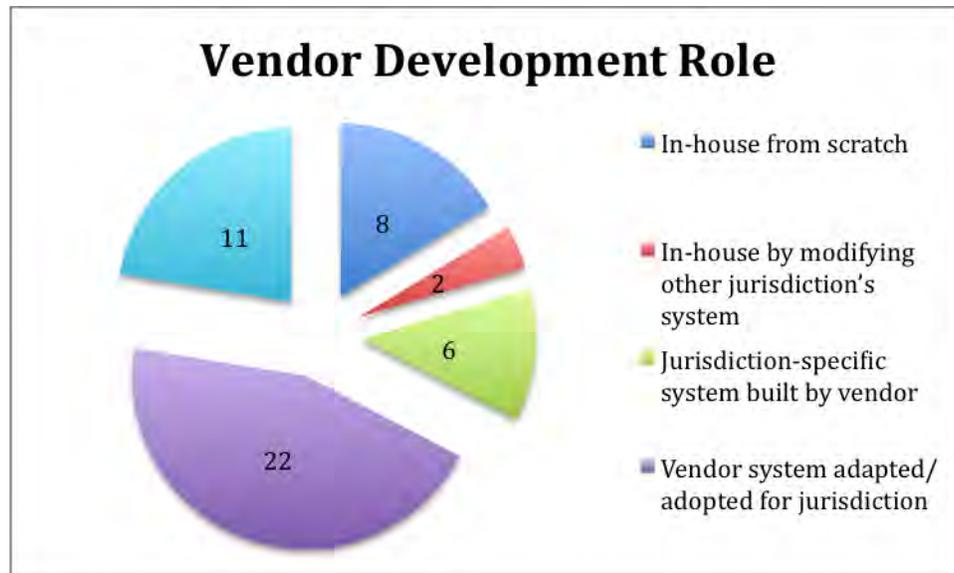


Figure 4: Projects by Vendor Development Role

However, it appears that a high percentage of the projects have a significant vendor role, most frequently with a vendor system being adapted for the jurisdiction.

The chart below shows the role of vendors in the ongoing operation of e-filing systems.

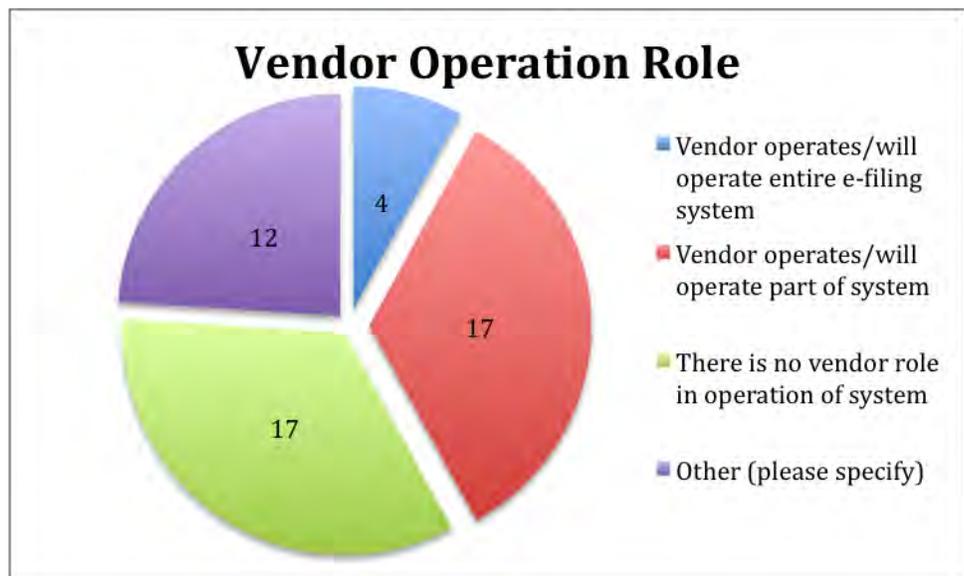


Figure 5: Projects by Vendor Operational Role

It is rare for vendors to operate the entire system. However some vendor participation in the operation of the system is not unusual.⁵ The complexity of e-filing systems is well illustrated by the responses to the survey of one of the pioneer states, Utah:

Utah is a multi-vendor service. Any vendor, individual, state agency, or attorney firm may build a e-filing application and have that system certified by the Utah courts. In effect, the court has outsourced the filer's front end. Utah uses a vendor supplied electronic filing manager (EFM) to receive and process filings. The interface to the court's case management systems was build in-house and maintained by court personnel. Currently, there are three vendors, 2 private attorneys, and 2 state agencies that have built e-filing front-end systems that are certified by the court.

IV. Availability and Use of E-Filing For the Self-Represented

A major set of issues in the deployment of e-filing has been whether the self-represented are permitted or required to e-file. The data in the chart below shows the wide range of real world approaches.

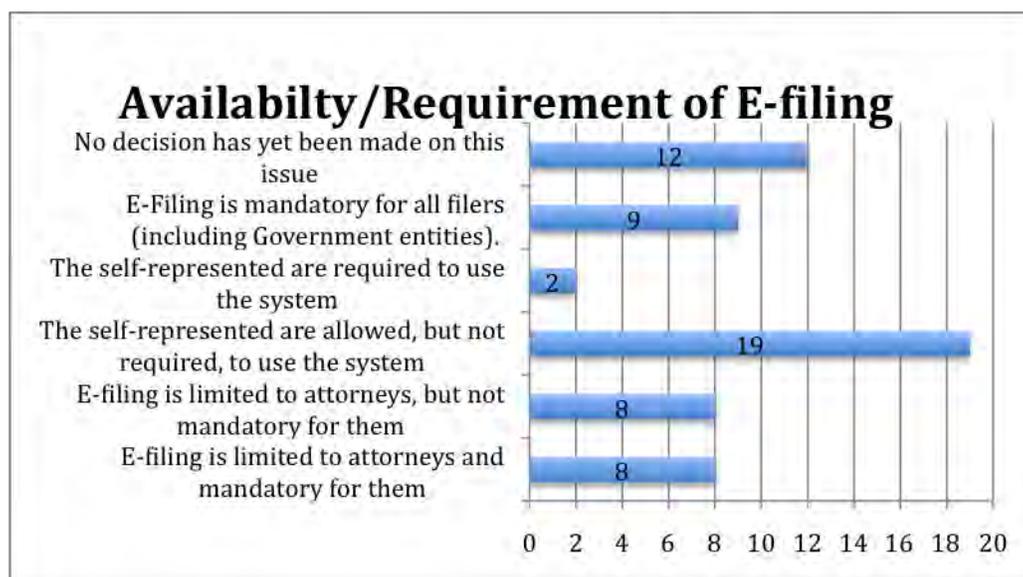


Figure 6: Availability/Requirement of E-Filing for Self-Represented and Attorneys

As the above chart shows, the modal response is that the self-represented are able, but not required to use e-filing. It is noteworthy that 12 projects have not yet made a decision on the matter.

⁵ Additional vendor role information is in *Appendix II, Status and Vendor Roles*

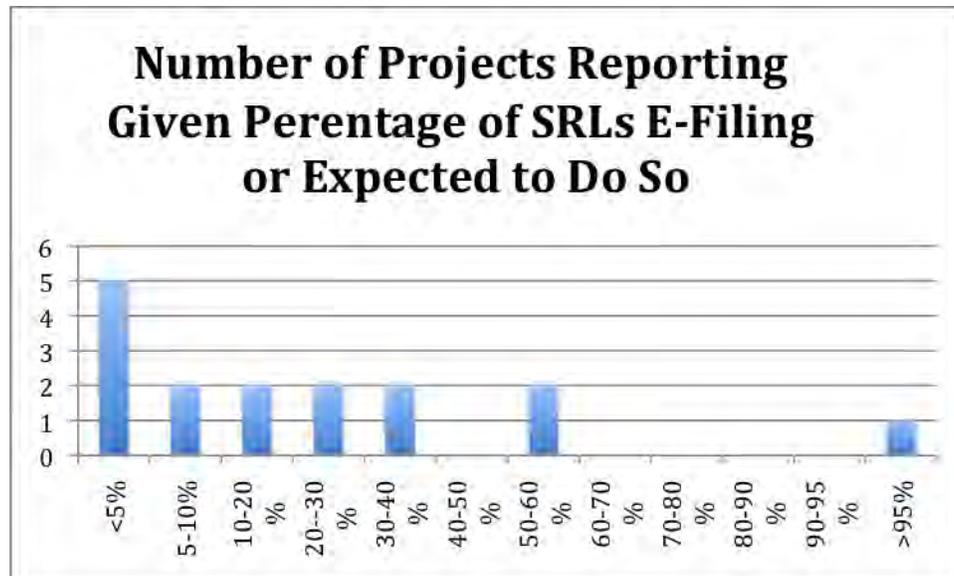


Figure 7: Percentages of SRLs E-Filing

Among those projects permitting SRL e-filing, most expect utilization between less than 5% and less than 40%, with the lowest number the most reported. This number suggests great pessimism, and underlines the need to adopt practices that will increase this number, and maximize the positive impact of e-filing deployments.

By way of contrast, as shown in the chart below, the extent of use by attorneys is much greater, with the modal figure being greater than 95%. This is surely because so many projects require attorneys to use the system.

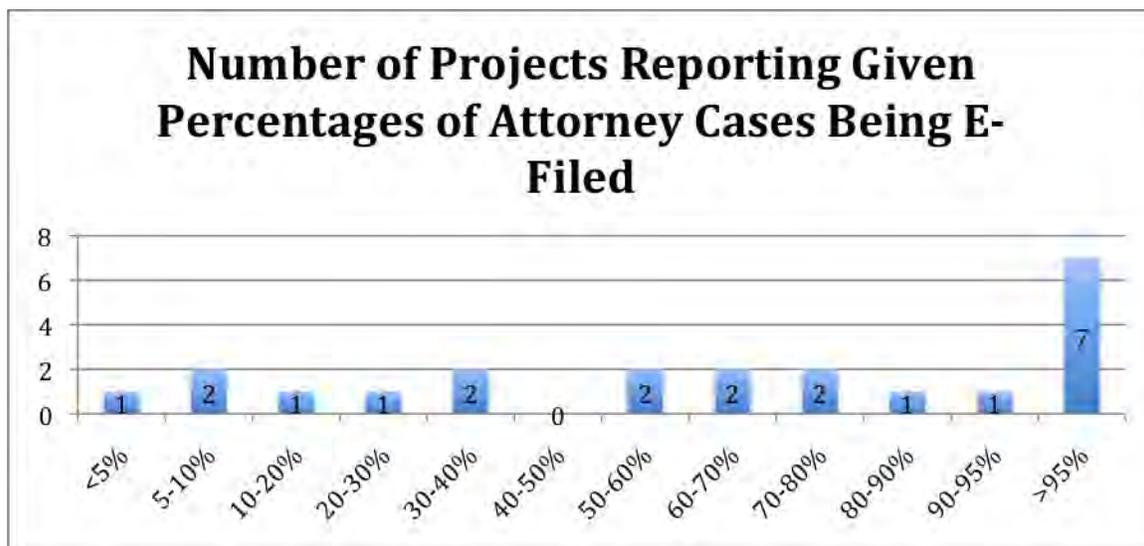


Figure 8: Attorney Usage

The following chart shows actual or expected figures for the percentage of e-filing usage that is by the self-represented.

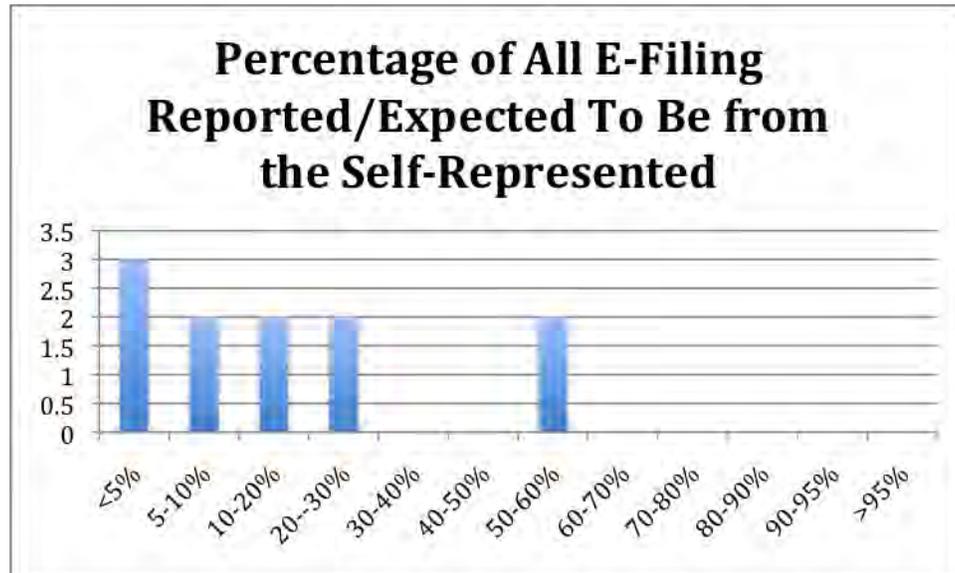


Figure 9: Percentages By Self-Represented

Again, as a general matter, this, with the exception of two projects, is expected to be relatively small.

V. Fee Waiver and Payment Systems

Availability of fee waiver for any fees associated with e-filing is obviously a critical issue in terms of accessibility of the system for the self-represented. The chart below shows that few projects have failed to accommodate in some way to this need.⁶

⁶ Additional state and project information on fee waivers is available in *Appendix III, Costs and Waiver*.

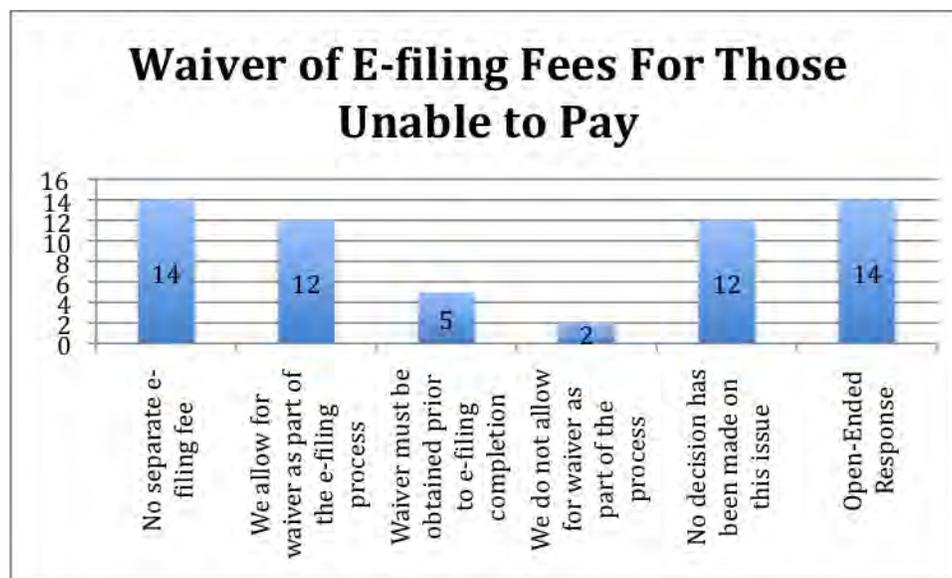


Figure 10: Fee Waiver

Two examples of a real world responses:

We [Minnesota] allow for waiver as part of the e-filing process. At this time, the court is covering the transaction cost of the e-filing and not passing this on to the filer, but the attorneys pay the credit card convenience fee and e-service fee if they use e-service. If an attorney files with an IFP, there would be no credit card fee because there is no filing fee. But whether the separate e-service fee is also waived is still undecided.

No separate e-filing fee [Louisiana]. We do not plan at this time to have a separate e-Filing fee, but if we do so, we would have a waiver for those who are not required to pay the filing fee (appellants who are indigent; state, etc).

A range of payment options is available, but for those who do not have credit cards, these may produce significant barriers.⁷

⁷ Additional state and project information on fee payment options is available in *Appendix III, Costs and Waiver*.

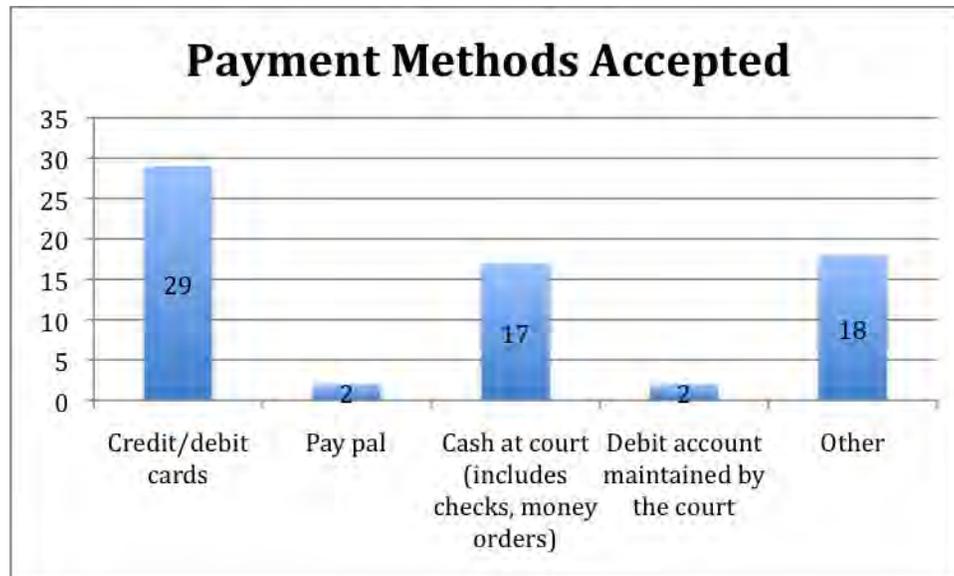


Figure 11: Payment Options

One measure of the importance of these issues is whether fees are required when responsive pleadings are filed. As detailed below, in a surprising number of cases, the answer is yes, subject presumably to waiver.

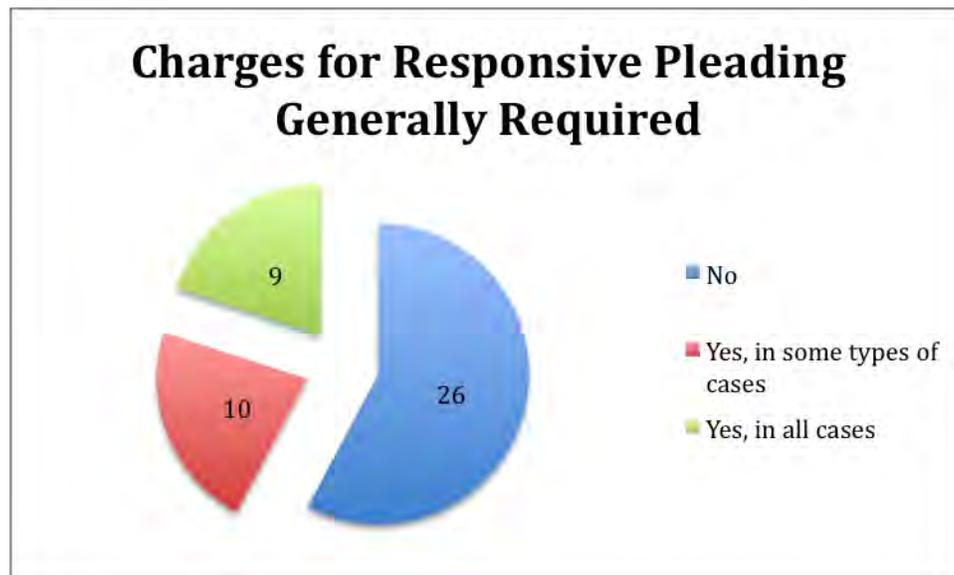


Figure 12: Fees Required for Responsive Pleadings

VI. Operational Decisions That Impact Access

A. **Verification Methods**

A major issue in the design of e-filing systems is whether and how litigants will be required to verify their identity as part of the e-filing process. The chart below shows that there are a wide variety of approaches, but that many projects find that acceptance of online representation is sufficient.⁸

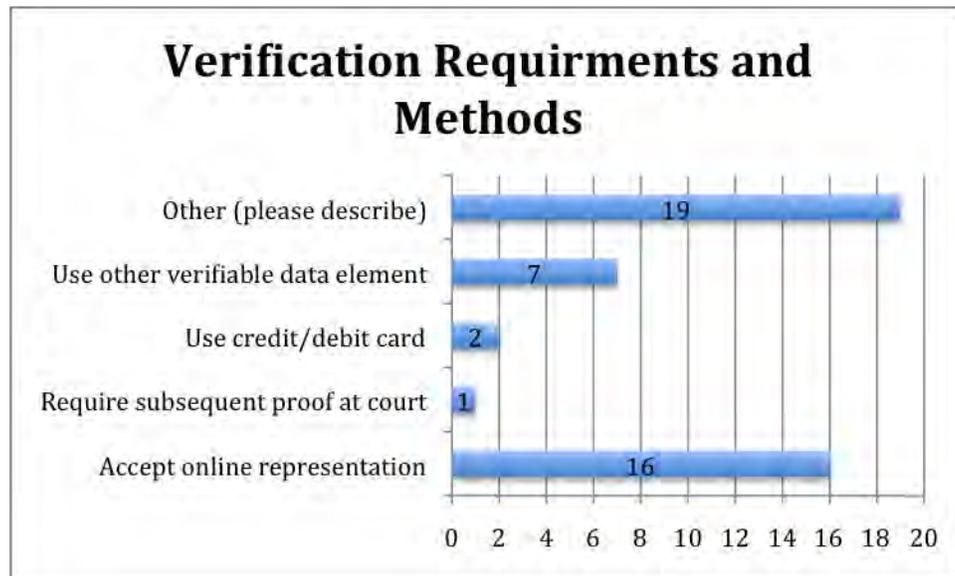


Figure 13: Identity Verification

B. **Online Branching Front End**

Many believe that an online branching front end is important to ensure usability for the self-represented.

⁸ Additional state and project information on identity verification is available in *Appendix IV, Verification and Branching*.

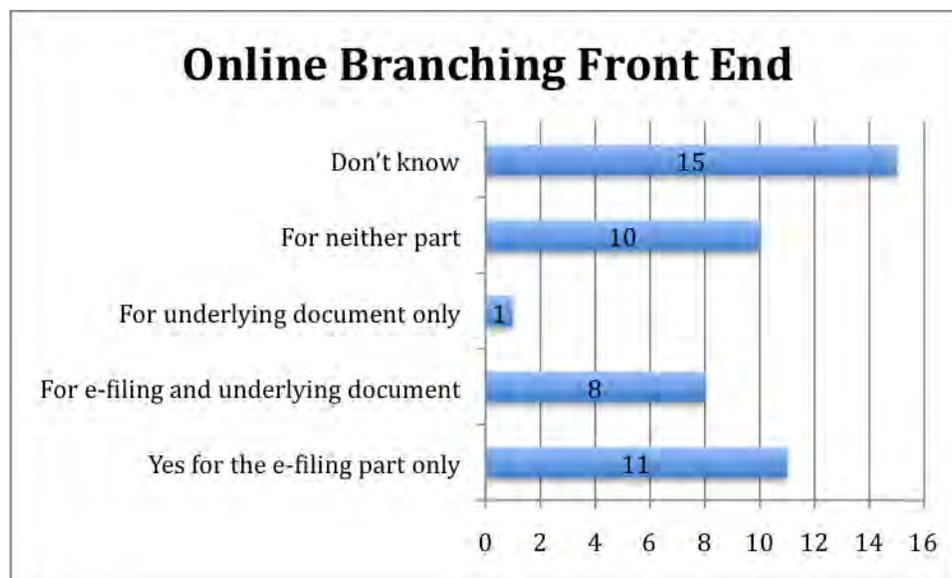


Figure 14: Online Branching Front End

However, only eight projects provided such a front end for both the e-filing process and the underlying document.⁹ Here is Utah's description:

Utah's On-Line Court Assistant (OCAP) requires self-represented litigants to register with OCAP. Once registered, the system uses Hot Docs to create a conversation that collects the data to be used by the document assembly system. OCAP generates the appropriate PDF documents to file with the court from the Hot Docs conversation.

C. Front End Registration

There are disagreements about the best relationship of the e-filing system and the data collection and assembly system for documents. In this area too, there is substantial variation.

⁹ Additional state and project information on branching is available in *Appendix IV, Verification and Branching*.

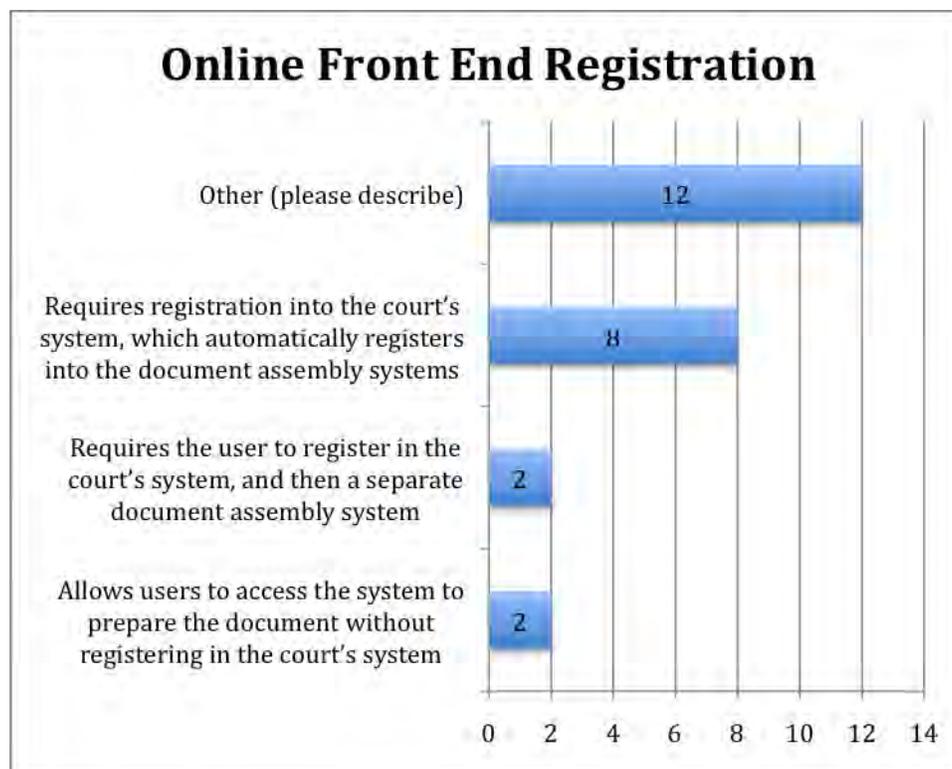


Figure 15: Front End Registration

Eight systems solve the problem with automatic registration linking.

Here is one state response:

Missouri requires registration into the court's system, which automatically registers into the document assembly systems

D. System Data Integration

The benefits of e-filing are greatly enhanced when data from the e-filing and document systems are integrated into the case management system without additional data entry. The good news, as shown in the chart below, is that many projects include this capacity.¹⁰

¹⁰ Additional state and project information on data integration is available in *Appendix V, Data, Service, and Communication*.

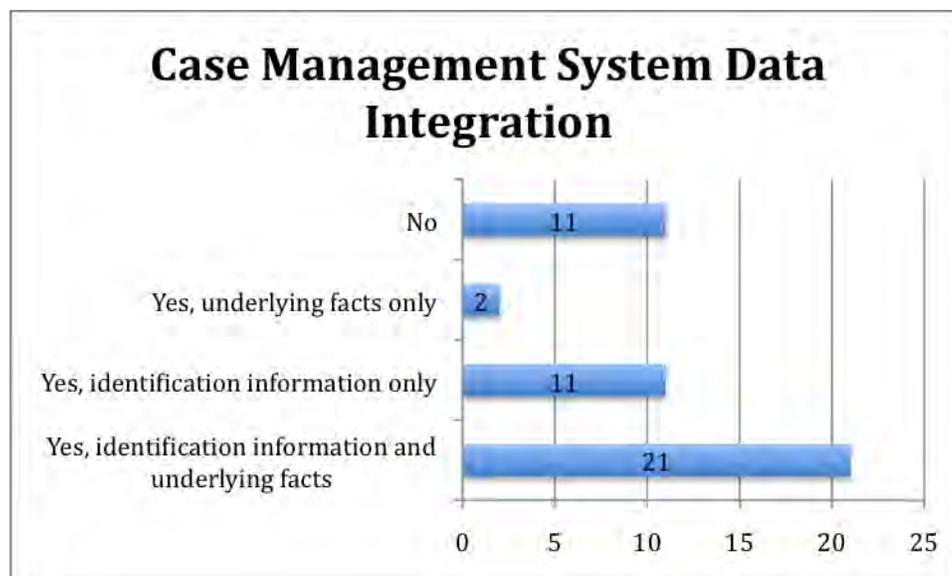


Figure 16: Data Integration

One Pennsylvania appellate system was described as follows:

When a case is e-filed - the system creates docket entries in the appellate court case management system to initiate the case. The system also pulls up case information that exists in any lower court systems that the AOPC maintains so that this information does not have to be reentered.

In Texas:

The metadata is transmitted to the court as an XML envelope together with the supporting documents as an embedded attachment. The XML is parsed and written to the case management system. The document is docketed, indexed, and written to the document management system.

E. Completion of Service of Process

Eighteen of the projects include support for completion of service of process.¹¹

¹¹ Additional state and project information on service of process is available in *Appendix V, Data, Service, and Communication*.

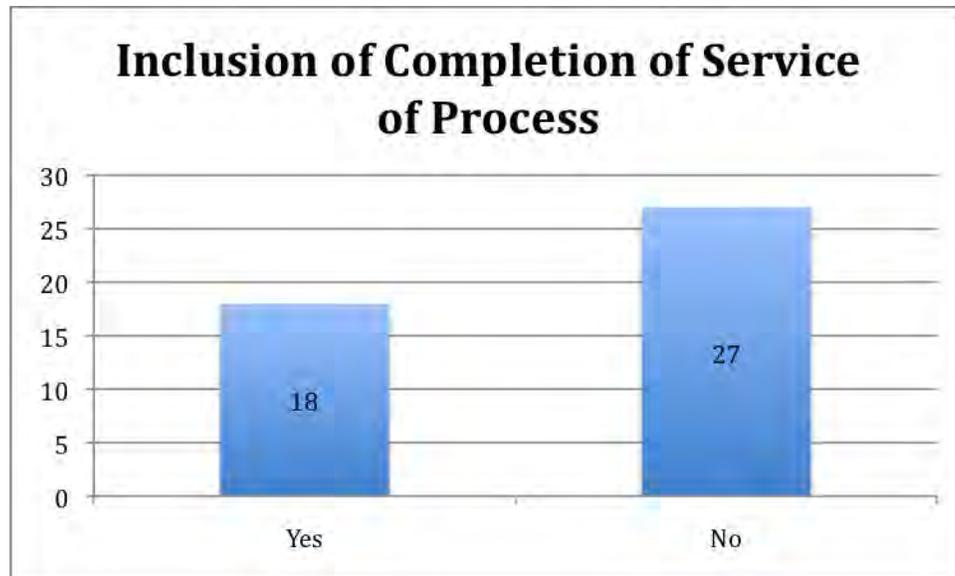


Figure 17: Service of Process

California, for example, responding for its multiple projects, responded as follows:

Upon acceptance of the filing by the clerk, the system will automatically serve all case participants that have requested service by e-mail. Service to case participants that have requested service by US Postal Service are the responsibility of the filer (self-represented litigant must print documents to serve and mail them to the service by USPS participants).

F. Ongoing Communication Systems

The majority of systems provide for ongoing communication between litigants and court.¹² Iowa, for example, reports:

All registered parties are served each filed document electronically; those parties exempted from the e-filing process are served by paper.

¹² Additional state and project information on communication is available in *Appendix V, Data, Service, and Communication*.

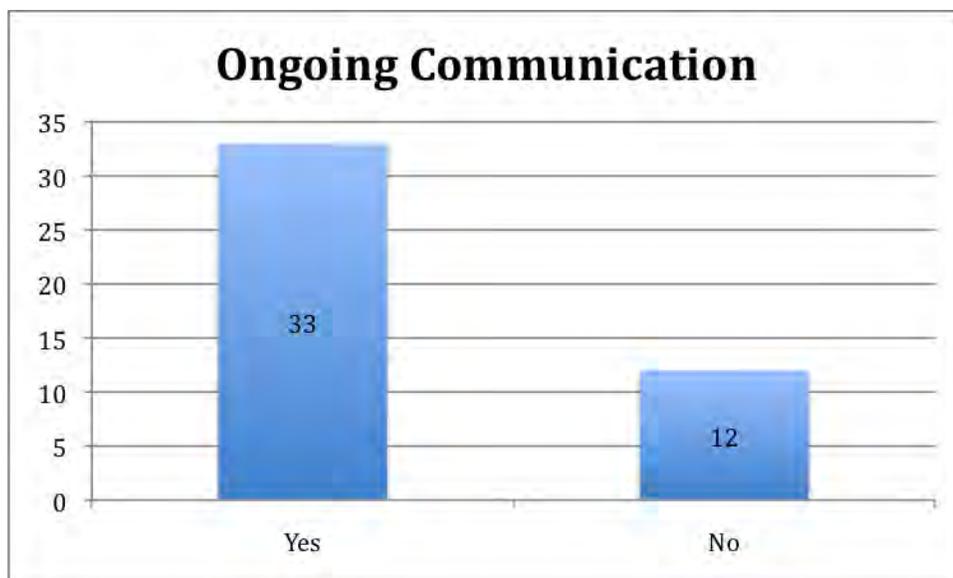


Figure 18: Ongoing Communication

G. Evidentiary Filings

The large majority of systems support evidentiary filings.¹³ New Hampshire reports:

All e-filed documents are part of the official court record. Any paper documents filed by the SLR (or by any party in any case) are scanned into the official record. The SLR can view all e-documents through computers available at the court and have electronic or paper copies of documents for the case in which the SLR is a litigant.

¹³ Additional state and project information on evidentiary filings is available in *Appendix V, Data, Service, and Communication*.

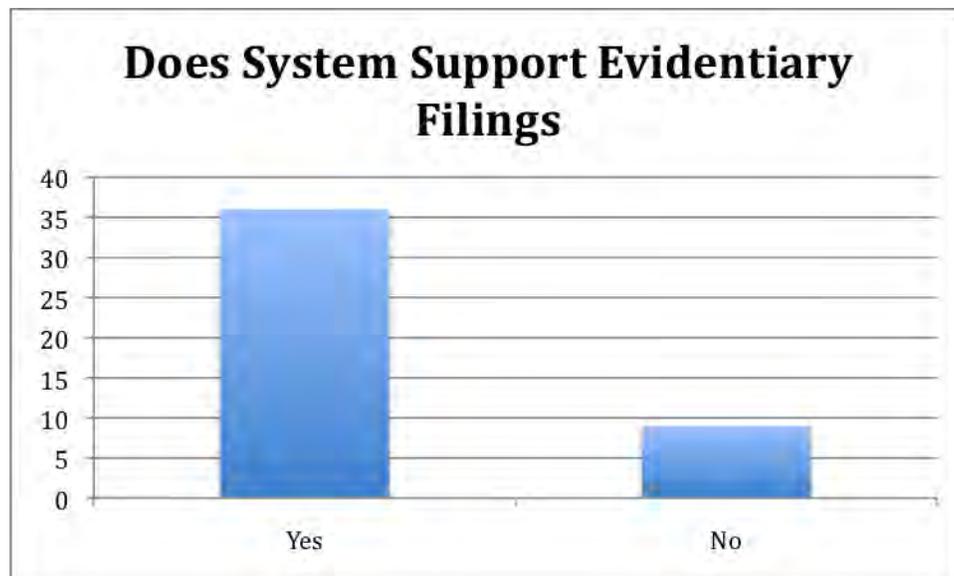


Figure 19: Evidentiary Filings

VII. Financial Issues

Decisions about issues such as fee waiver may be impacted by expectations about e-filing revenue.

The chart below shows the complexity of the revenue picture. In only 4 projects does all the money go to the court.

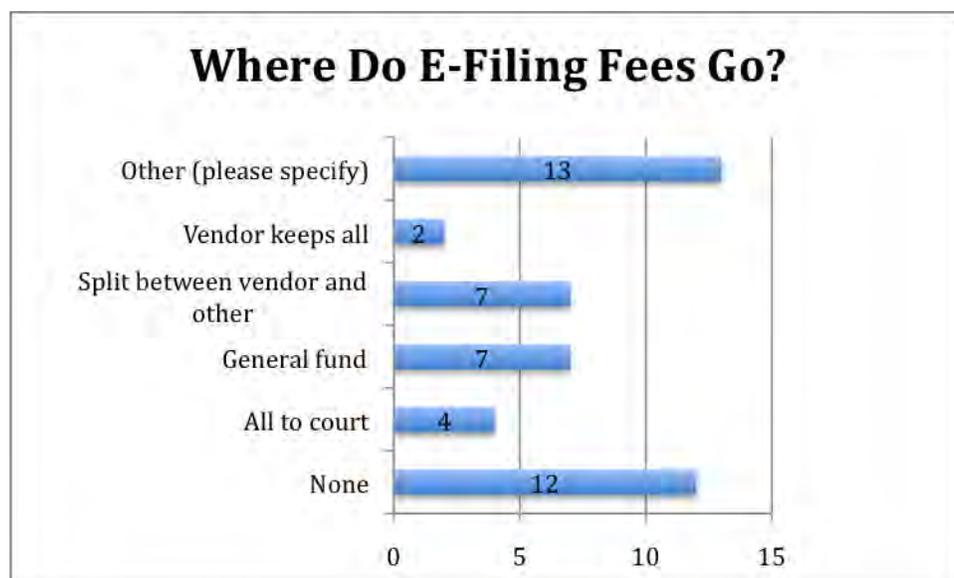


Figure 20: Revenue Paths

The significance of this may be reduced by the fact that only a relatively small number of projects expect to generate significant revenue.

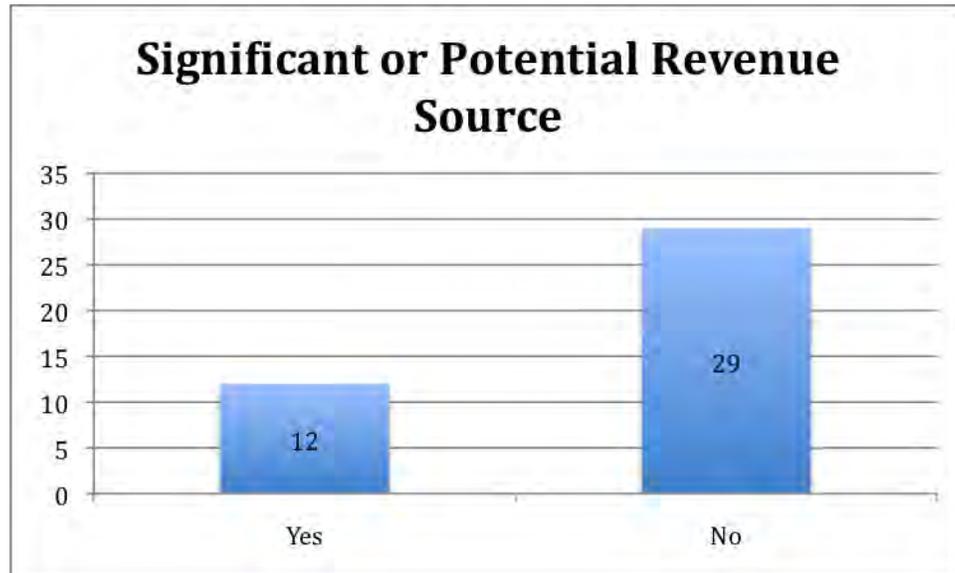


Figure 21: Extent of Revenue

VIII. Publicizing the System

At least for the general public, publicizing the availability of e-filing is an important aspect of accessibility.

As the chart below shows, a variety of outreach is performed, with the use of the court website being the most frequently used.¹⁴

¹⁴ Additional state and project information on publicity and outreach is available in *Appendix VI, Publicity and Access*.

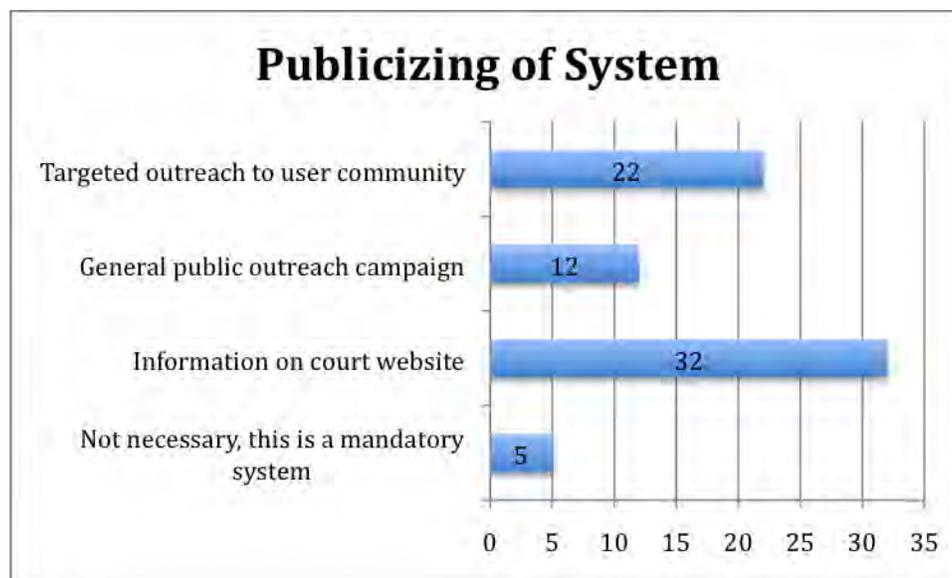


Figure 22: Publicizing the System

IX. Access Barriers and Role of Access Advocates

Courts report a range of actual and potential access barriers and their plans and attempts to overcome them.¹⁵ Macomb County, Michigan responded as follows to a question about barriers:

Technology Ability and means for the self-represented. We are looking to improve our system to in a way that the public will have access to electronically file at the court even if they do not have computer or internet access. We are also looking into a means of creating the documents through a Q and A interface for self-represented litigants.

Minnesota highlighted the notarization problem:

Almost all SRL court forms include notarization. This is a barrier to using a system that integrates electronic document creation with e-filing as it means the SRL has to print out paper and scan it back in, and then the data elements and electronic integration of the form is lost. We are exploring alternatives. Another barrier is that the vendor e-filing portal is too complex for successful use by a pro se filer, and training is required before attorneys register to use the system. It isn't practical to have pro se parties use this system and it would require a lot of assistance by court staff, undermining the goals of e-filing which include reduction of work by staff to create cases.

¹⁵ Additional state and project information on these issues is available in *Appendix VI, Publicity and Access*.

Courts report a significant, but not overwhelming, role for access advocates in identifying and addressing these problems, with such advocates playing a role in 46% of projects.¹⁶

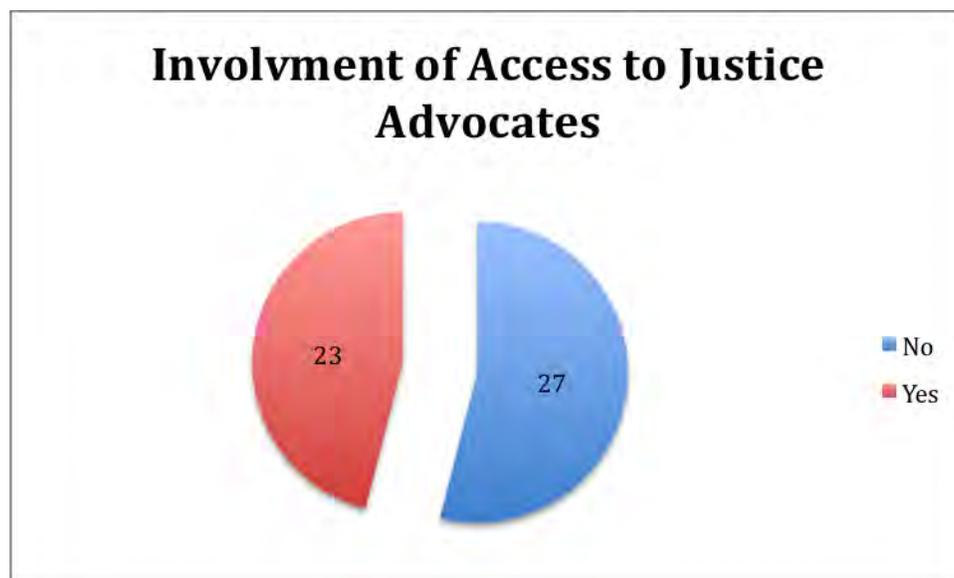


Figure 23: Role of Access Advocates Reported by Courts

In Delaware:

There were focus groups involved in planning, such as Community Legal Aid; although the system was constructed as simply as possible, given that it was based upon an existing vendor system, but not specifically focused on the self-represented.

And:

Members of the Florida Supreme Court Florida Courts Technology Commission, which is responsible for developing statewide standards governing electronic filing, include attorney and judge members who advocate for access to justice.

In terms of access problem solving, the Utah Courts, for example, advocate a comprehensive approach:

Utah provides video training on UTube, uses Hot Docs dialogs to capture filing information to assemble compliant documents. The OCAP system informs individuals of both events and requirements for their case. Utah

¹⁶ Additional state and project information on court reporting of the role of access advocates is available in *Appendix VI, Publicity and Access*. Additional information about the roles of advocates is in *Appendices VII to XI*.

provides links to and supports on-line chat between litigants and the court's self-help center. Utah provides links to all documents filed on the case to all parties on the case at no charge.

The following chart summarizes the self-reporting of the feelings of access advocates from the survey to the Commissions. Eight voice some form of dissatisfaction. However, about half voice no opinion, which is perhaps unfortunate.

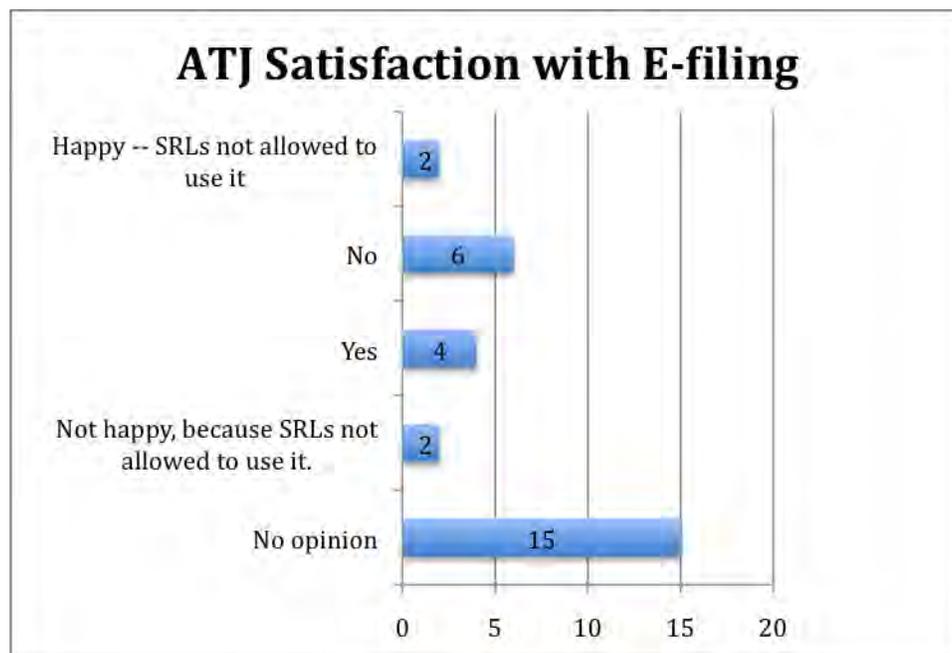


Figure 24: ATJ Advocate Satisfaction

Review of the detail in the Access Commission responses suggests that in a significant number of states, for reasons beyond the scope of this report, there has been little involvement by legal aid and or Access Commissions in the e-filing design process. It appears that the greatest concern is in the area of fee waiver.

The Access Commissions survey identified two areas of potential concern that have been addressed in the Best Practices document, the complexities raised by discrete task representation, and the fear that pro bono attorneys will be asked to bear additional costs as a result of e-filing.

X. Conclusion

States are now putting significant energy into e-filing, and have made a general commitment to analyzing and solving access problems.

It is hoped that the information in this Report, and the Best Practices Document that this information has informed, will be of help in ensuring that e-filing moves forward in a way that enhances access to justice.

Appendix I: Court Survey Responses by State

| State | Number of Projects |
|----------------|-----------------------|
| AK | 1 |
| AL | 1 |
| AR | 1 |
| CA | 1 (consolidated) |
| CT | 2 |
| DE | 3 |
| FL | 1 |
| GA | 1 |
| HI | 2 |
| IA | 1 |
| ID | 1 |
| IL | 1 |
| IN | 1 |
| KS | 1 |
| LA | 4 |
| ME | 1 |
| MI | 3 |
| MN | 1 |
| MO | 1 |
| MT | 1 |
| ND | 1 |
| NE | 1 |
| NH | 1 |
| NM | 1 |
| NV | 1 |
| OH | 1 |
| OR | 1 |
| PA | 6 |
| RI | 1 |
| SD | 1 |
| TX | 1 |
| Utah | 1 |
| VA | 1 |
| WA | 1 |
| WI | 1 |
| Total States | 35 States |
| Total Projects | 49 |

| State | Counties | Project Name | What is the status of this project? | Vendor Deveopment Role | Vednor Operational Role | Additional Vendor Participation Informaiton |
|-------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| AK | ALL | No official name yet. Still referred to as the trial court e-filing project. | Being planned | Vendor system adapted/adopted for jurisdiction | There is no vendor role in operation of system | AT THIS POINT, WE DO NOT INTEND THE VENDOR TO BE INVOLVED IN THE OPERATION OF THE SYSTEM. THE VENDOR'S ROLE WOULD BE SUPPORT ONLY. |
| AL | ALL | AlaFile/AlacourtPlu s | Deployed in all of jurisdiction | Jurisdiction-specific system built by vendor | Vendor operates/will operate part of system | Project developed and maintained through a public/private partnership between the Alabama Administrative Office of Courts and On-Line Information Services (OLIS) out of Mobile, AL. |
| AR | Pulaski (F | Arkansas Electronic Filing Pilot Project | Being tested | Vendor system adapted/adopted for jurisdiction | There is no vendor role in operation of system | |
| CA | All | CA does not have statewide e-filing/Appellate E-Filing project in process/a trial courts e-filing workstream is also looking at state standards and e-filing initiatives | Being planned | Unknown at this time/some local trial courts have implemented systems utilizing a CA standard of 2GEFS with a Civil CMS implemented in 7 counties-mostly using 3rd party EFSPs | Vendor operates/will operate part of system | We are developing an RFP requesting that the Vendor provide a solution: 1. No/low cost to Judicial branch for Appellate Courts 2. Vendor will build and maintain all interfaces to California's Appellate Case Management System and Document Management Systems 3. Vendor will collect all fees and then send Court Fees to the court 4. Trial courts that have implemented e-filing use a similar model where EFSP determines fee and has created filing portal. |
| CT | ALL | Family E-Filing | Being developed | In-house from scratch | There is no vendor role in operation of system | |
| CT | ALL | Civil E-Filing | Deployed in all of jurisdiction | In-house from scratch | There is no vendor role in operation of system | |
| DE | ALL | Criminal & Family Court e-filing initiative | Being planned | Jurisdiction-specific system built by vendor | There is no vendor role in operation of system; We are using the same vendor as we did for civil e-filing; we will operate the e-filing system and use them for maintenance only | |

Accessible E-Filing

Note: Descriptions of e-filing rules and processes are for policy and planning purposes only. Litigants should obtain current information direct from the appropriate court

| State | Counties | Project Name | What is the status of this project? | Vendor Deveopment Role | Vednor Operational Role | Additional Vendor Participation Informaiton |
|-------|-----------|------------------------------------------------------------|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|
| DE | ALL | Civil e-filing project for limited jurisdiction courts | Deployed in all of jurisdiction | Vendor system adapted/adopted for jurisdiction | There is no vendor role in operation of system | We have a maintenance contract for programming issues but the Courts operate the e-filing system without involvement of the vendor. |
| DE | ALL | E-filing civil (general jurisdiction/appellate courts) | Deployed in all of jurisdiction | Jurisdiction-specific system built by vendor | Vendor operates/will operate entire e-filing system | |
| FL | statewide | Electronic Filing | Deployed in part of jurisdiction | Vendor is Florida Association of Court Clerks Services Group, LLC, a wholly owned subsidiary of the Florida Court Clerks and Comptroller, which is the statewide association of the clerks of circuit and county courts | The Florida Courts E-Filing Portal is operated by the Florida Courts E-Filing Authority, an interlocal authority established pursuant to Part I, chapter 163, Florida Statutes. | |
| GA | All | Committee on E-filing in the Judicial System | Being planned | In RFI phase | Still studying but anticipate vendor role | |
| HI | ALL | JIMS Appellate | Deployed in all of jurisdiction | Vendor system adapted/adopted for jurisdiction | There is no vendor role in operation of system | They designed the programs according to the court's specifications; court personnel manages the operation. |
| HI | ALL | District Court - JIMS Project | Deployed in part of jurisdiction | Jurisdiction-specific system built by vendor | There is no vendor role in operation of system | |
| IA | ALL | Electronic Document Management System (EDMS) Project | Deployed in part of jurisdiction | Vendor system adapted and integrated with in-house CMS | There is no vendor role in operation of system | |
| idaho | all | Court Technology Committee transition to ISTARs ENTERPRISE | Being planned | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate entire e-filing system | |

Accessible E-Filing

Note: Descriptions of e-filing rules and processes are for policy and planning purposes only. Litigants should obtain current information direct from the appropriate court

| State | Counties | Project Name | What is the status of this project? | Vendor Deveopment Role | Vednor Operational Role | Additional Vendor Participation Informaiton |
|-------|-----------|-----------------------------------------|-------------------------------------|---------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| IL | ALL | e-filing survey | Deployed in all of jurisdiction | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate part of system | |
| IN | ALL | Electronic Filing-Court Survey | Being tested | Variety of vendors in 3 Indiana counties | Three separate systems will be utilized | Lexis-Nexis operates in Marion County (Indianapolis); Doxpop will operate in White County (Monticello); and Lake County (Gary) has set up its own Online Docket system. |
| KS | ALL | E-Filing Project | Being tested | Vendor system adapted/adopted for jurisdiction | There is no vendor role in operation of system | The vendor may provide technical support to attorney users, if funded. |
| LA | All | Louisiana Supreme Court e-filing | Deployed in all of jurisdiction | In-house and with multiple outside consultants | There is no vendor role in operation of system; Vendor assists with operations now, but will turn over to Iowa at end of implementation | The Supreme Court began e-filing more than a year ago with a system developed In-house with limited consulting from our case management developer. The JA (AOC) had been working for years developing the Louisiana Court Connection (for city courts) with outside consultants which was to have an e-filing component. Earlier this year we joined forces utilizing some of our work and brought in some of their work to produce our current version which was implemented state wide in August 1st. |
| LA | JEFFERSON | E-FILING | Deployed in part of jurisdiction | In-house from scratch | | |
| LA | Many | Louisiana First Circuit EFiling Project | Being planned | In-house by modifying other jurisdiction's system | There is no vendor role in operation of system | |
| LA | Northern | Second Circuit Court of Appeal | Being planned | In-house by modifying other jurisdiction's system | There is no vendor role in operation of system | |
| ME | All Maine | eFiling Maine | Being planned | RFI In process | Note yet determined | Currently have RFI out for a COTS CMS with current and future eFiling capability |
| MI | Antrim, G | 13th Circuit Court Pilot Project | Deployed in part of jurisdiction | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate part of system | |

Accessible E-Filing

Note: Descriptions of e-filing rules and processes are for policy and planning purposes only. Litigants should obtain current information direct from the appropriate court

| State | Counties | Project Name | What is the status of this project? | Vendor Development Role | Vendor Operational Role | Additional Vendor Participation Information |
|-------|----------|--------------------------------------------------|-------------------------------------|--------------------------------------------------------------------------------------------|------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| MI | Macomb | E-Filing Pilot Project in the 16th Circuit Court | Deployed in part of jurisdiction | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate part of system | |
| MI | Wayne | eFiling Civil Division | Deployed in part of jurisdiction | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate part of system | Vendor provides software for eFiling, integration to case management system. |
| MN | ALL | eCourt MN | Deployed in part of jurisdiction | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate part of system | MN is using a vendor system adapted for attorney e-filing. For SRL e-filing we are doing new development work for the user interface but sending the data through the same vendor system so the e-filings will look the same to the clerks and court, but different for the filers. |
| MO | Supreme | Missouri eFiling System | Deployed in part of jurisdiction | In-house from scratch | There is no vendor role in operation of system | Missouri built in house systems around the courts case management system to allow for electronic filing. The Missouri eFiling System enters filing information and documents into the courts case management system. |
| MT | All | Montana Statewide E-filing | Being developed | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate part of system | |
| ND | ALL | E-FILE & SERVE | Deployed in all of jurisdiction | Vendor system adapted/adopted for jurisdiction | There is no vendor role in operation of system | |
| NE | ALL | E-filing | Deployed in all of jurisdiction | Inhouse and the front end was built by the State's web portal | Vendor operates/will operate part of system | The vendor is the state's web portal and operates the attorney side of the application and moves funds from attorney bank accounts to court bank accounts via ACH. |
| NH | ALL | NH e-Court Project | Being planned | We will issue an RFP for a small claims system this fall. Other jurisdictions will follow. | Vendor operates/will operate part of system | We expect a vendor to adapt its small claims system to NH requirements. Systems for other jurisdictions are under discussion. |

Accessible E-Filing

Note: Descriptions of e-filing rules and processes are for policy and planning purposes only. Litigants should obtain current information direct from the appropriate court

| State | Counties | Project Name | What is the status of this project? | Vendor Deveopment Role | Vednor Operational Role | Additional Vendor Participation Informaiton |
|-----------|------------------|----------------------------------------------------|-------------------------------------|------------------------------------------------|--------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| NM | ALL | New Mexico File & Serve | Deployed in part of jurisdiction | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate part of system | The vendor receives proposed e-filings through a protal that is badged for the state, and immediately forwards to a queue for clerks at the court. The court accepts the e-filing and it then becomes part of the official court record, over which the court and not the vendor has complete control. A copy of the proposed filing stays on the vendor's server for 180 days. Financial transactions relating to e-filing are processed by the vendor. |
| NV | NV | EFiling | Deployed in all of jurisdiction | Vendor system adapted/adopted for jurisdiction | Vendor provides assistance addressing production issues. | The vendor provides two releases per year with new enhancements made to the application. |
| OH | ALL | Case and Document Management System (C/DMS) | Being developed | In-house from scratch | We may purchase software to add on to our rebuilt/redesigned C/DMS to serve as the e-file portal | No decision has been made and we've not begud to evaluate vendors |
| OR | All | Electroinc Filing | Deployed in part of jurisdiction | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate part of system | Vendors create the portal, OJD accesses the portal to accept or reject |
| PA | Appellate | Appellate Court e-filing system (PACFile) | Deployed in part of jurisdiction | In-house from scratch | There is no vendor role in operation of system | |
| PA | Chester | Civil eFiling | Being developed | Vendor system adapted/adopted for jurisdiction | not known at this time | |
| Pa | Delaware | Delaware County Civil/Family E-Filing | Being developed | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate part of system | Delaware County purchased a E-Filing system from Vendor. Project is being developed with County/Court planning group and vendor participation. |
| PA | Lehigh | Lehigh County Civil EFiling Pro9ject | Being tested | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate part of system | |
| PA | Montgom | E-FILING Survey | Deployed in all of jurisdiction | Jurisdiction-specific system built by vendor | Vendor operates/will operate part of system | |

Accessible E-Filing

Note: Descriptions of e-filing rules and processes are for policy and planning purposes only. Litigants should obtain current information direct from the appropriate court

| State | Counties | Project Name | What is the status of this project? | Vendor Deveopment Role | Vednor Operational Role | Additional Vendor Participation Informaiton |
|-------|-----------|-----------------------------------|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PA | Philadelp | CLAIMS | Deployed in all of jurisdiction | Jurisdiction-specific system built by vendor | Vendor operates/will operate part of system | The vendor particiaped in the development of the case management and e-filing system. Our system is a Web-Based Case Management. |
| RI | ALL | CMS efilng | Being planned | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate entire e-filing system | vendor for cms/efiling has not been chosen yet |
| SD | all | South Dakota E-filing | Being planned | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate part of system | |
| TX | ALL | Statewide eFiling | Deployed in part of jurisdiction | Vendor system adapted/adopted for jurisdiction | Vendor operates/will operate entire e-filing system | |
| Utah | All | Electronic court records system | Deployed in all of jurisdiction | Electronic filing uses a vendor's EFM that operates on a court server. The interface to the court's computing system was developed in-house. | Vendor supports modifications to the EFM only. | Utah is a multi-vendor service. Any vendor, individual, state agency, or attorney firm may build a e-filing application and have that system certified by the Utah courts. In effect, the court has outsourced the filer's front end. Utah uses a vendor supplied electronic filing manager (EFM) to receive and process filings. The interface to the court's case management systems was build in-house and maintained by court personnel. Currently, there are three vendors, 2 private attorneys, and 2 state agencies that have built e-filing front-end systems that are certified by the court. |
| VA | All | E-Filing | Being developed | In-house from scratch | Vendor for processingof filing fee | |
| WA | ALL | We are not e-filing at this time. | Being planned | Most likely will be a vendor adaptation but not sure at this time. | | |
| WI | ALL | E-filing | Deployed in part of jurisdiction | In-house from scratch | There is no vendor role in operation of system | |

Accessible E-Filing

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| State | Counties | Project Name | E-Filing Fee Waiver? | How do you collect fees from the self-represented? |
|-----------|------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| AK | ALL | No official name yet. Still referred to as the trial court e-filing project. | No separate e-filing fee | Credit/debit cards, Cash at court (includes checks, money orders) |
| AL | ALL | AlaFile/AlacourtPlus | No separate e-filing fee | Credit/debit cards |
| AR | Pulaski (Pilot) | Arkansas Electronic Filing Pilot Project | Waiver must be obtained prior to e-filing completion. During the filing, a waiver is requested and filing must be accompanied by a petition to proceed in forma pauperis | Credit/debit cards |
| CA | All | CA does not have statewide e-filing/Appellate E-Filing project in process/a trial courts e-filing workstream is also looking at state standards and e-filing initiatives | We allow for waiver as part of the e-filing process/ Filer submits Fee Waiver Application to the court. The court reviews the application and approves or denies the request. System will validate that no court fees are required from filers that have an approved fee waiver. | Credit/debit cards, Cash at court (includes checks, money orders), Cash at court (includes checks, money orders). No decision yet, but looking at credit cards and e-checks for appellate filings. Local jurisdictions for trial courts take over the counter or use an EFSP to collect fees. |
| CT | ALL | Family E-Filing | No separate e-filing fee | Credit/debit cards, Cash at court (includes checks, money orders) |
| CT | ALL | Civil E-Filing | No separate e-filing fee | Credit/debit cards, Cash at court (includes checks, money orders) |
| DE | ALL | Criminal & Family Court e-filing initiative | No decision has been made on this issue | Credit/debit cards, Debit account maintained by the court |
| DE | ALL | Civil e-filing project for limited jurisdiction courtrs | We allow for waiver as part of the e-filing process | Debit account maintained by the court |

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| State | Counties | Project Name | E-Filing Fee Waiver? | How do you collect fees from the self-represented? |
|--------------|------------------|-------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| DE | ALL | E-filing civil (general jurisdiction/appellate courts) | We allow for waiver as part of the e-filing process | This is handled by the vendor and I am not certain how it is handled. |
| FL | statewide | Electronic Filing | No separate e-filing fee | Credit/debit cards, Cash at court (includes checks, money orders) |
| GA | All | Committee on E-filing in the Judicial System | No decision has been made on this issue | No decision yet made |
| HI | ALL | JIMS Appellate | No separate e-filing fee | Filing fees for appeals can be mailed or paid at the court in cash, checks, or money orders |
| HI | ALL | District Court - JIMS Project | No separate e-filing fee | No fee collected |
| IA | ALL | Electronic Document Management System (EDMS) Project | We allow for waiver as part of the e-filing process. Check box on e-filing screen; waiver must be approved by a judge. | Credit/debit cards, Cash at court (includes checks, money orders), Checks mailed to clerk's office |
| idaho | all | Court Technology Committee transition to ISTARs ENTERPRISE | No decision has been made on this issue | still dsicussing final plans |
| IL | ALL | e-filing survey | | |
| IN | ALL | Electronic Filing-Court Survey | We allow for waiver as part of the e-filing process; Judge presiding in the case must authorize the waiver based upon evidence presented in support of inability to pay. | Cash, check, debit or credit cards |
| KS | ALL | E-Filing Project | We allow for waiver as part of the e-filing process. It will work in the same manner as a poverty affidavit. | Self-represented will not e-file. |

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| State | Counties | Project Name | E-Filing Fee Waiver? | How do you collect fees from the self-represented? |
|--------------|--------------------------------------------|-------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| LA | All | Louisiana Supreme Court e-filing | We do not allow for waiver as part of the process | We don't allow self represented to file (unless they are an attorney) but your question is broad so we do accept cash from self represented who file the conventional way - Hard Copy. |
| LA | JEFFERSON | E-FILING | | |
| LA | Many | Louisiana First Circuit E-filing Project | No separate e-filing fee. We do not plan at this time to have a separate e-Filing fee, but if we do so, we would have a waiver for those who are not required to pay the filing fee (appellants who are indigent; state, etc). | Credit/debit cards, Cash at court (includes checks, money orders) |
| LA | Northern 20 Parishes of LA | Second Circuit Court of Appeal | No decision has been made on this issue | No decision yet |
| ME | All Maine Counties (16) | eFiling Maine | No decision has been made on this issue | Credit/debit cards, Cash at court (includes checks, money orders), PayTixx |
| MI | Antrim, Grand Traverse and Leelanau | 13th Circuit Court Pilot Project | We allow for waiver as part of the e-filing process. Waiver form is submitted for judicial review when a party first appears | Credit/debit cards, Cash at court (includes checks, money orders) |

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| State | Counties | Project Name | E-Filing Fee Waiver? | How do you collect fees from the self-represented? |
|-----------|----------------------|---------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| MI | Macomb County | E-Filing Pilot Project in the 16th Circuit Court | We allow for waiver as part of the e-filing process. The filer must submit an Affidavit and Order requesting to suspend their fees and costs. This is submitted as a part of the e-filing process during the payment step. The filer submits this form along with their e-filed documents in the same transaction. | Credit/debit cards |
| MI | Wayne | eFiling Civil Division | Waiver must be obtained prior to e-filing completion. The filer must obtain a waiver from the Chief Judge. | Credit/debit cards |
| MN | ALL | eCourt MN | We allow for waiver as part of the e-filing process. At this time, the court is covering the transaction cost of the e-filing and not passing this on to the filer, but the attorneys pay the credit card convenience fee and e-service fee if they use e-service. If an attorney files with an IFP, there would be no credit card fee because there is no filing fee. But whether the separate e-service fee is also waived is still undecided. | If SRLs filed today, they would need a credit card. Our plans for SRL e-filing will include payment by check routing number, credit/debit card, and possibly an option to file and pay cash at the courthouse within a certain number of days. |

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| State | Counties | Project Name | E-Filing Fee Waiver? | How do you collect fees from the self-represented? |
|--------------|----------------------------------------------------------------------------------------|----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|
| MO | Supreme Court of Missouri, Three Appellate Courts and the 11th Judicial Circuit | Missouri eFiling System | No separate e-filing fee | N/A |
| MT | All | Montana Statewide E-filing | No decision has been made on this issue | Unknown at this time |
| ND | ALL | E-FILE & SERVE | No separate e-filing fee | Credit/debit cards |
| NE | ALL | E-filing | Waiver must be obtained prior to e-filing completion | Not in use for self represented |
| NH | ALL | NH e-Court Project | We allow for waiver as part of the e-filing process. Policy decision has been made but system has not been designed. | Credit/debit cards, Pay pal, Cash at court (includes checks, money orders) |
| NM | ALL | New Mexico File & Serve | Waiver must be obtained prior to e-filing completion. Individuals file an application through the e-filing system for an exception to mandatory e-filing fees. Non-profits and government agencies apply for and are given blanket release from e-filing fees. | SLRs do not yet e-file, but the plan is to collect through credit cards. |
| NV | NV | EFiling | | |
| OH | ALL | Case and Document Management System (C/DMS) | No separate e-filing fee | Credit/debit cards, Cash at court (includes checks, money orders) |
| OR | All | Electroinc Filing | No decision has been made on this issue | Credit/debit cards, Cash at court (includes checks, money orders) |

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| State | Counties | Project Name | E-Filing Fee Waiver? | How do you collect fees from the self-represented? |
|--------------|-------------------------|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| PA | Appellate courts | Appellate Court e-filing system (PACFile) | No separate e-filing fee | Credit/debit cards |
| PA | Chester | Civil eFiling | We allow for waiver as part of the e-filing process. IFP process (petition must be filed) | Credit/debit cards |
| Pa | Delaware | Delaware County Civil/Family E- Filing | No decision has been made on this issue | Credit/debit cards, Cash at court (includes checks, money orders) |
| PA | Lehigh | Lehigh County Civil EFiling Pro9ject | No decision has been made on this issue | Credit/debit cards |
| PA | Montgomery | E-FIling Survey | No separate e-filing fee | Credit/debit cards, Pay pal |
| PA | Philadelphia | CLAIMS | Waiver must be obtained prior to e-filing completion. Parties file a petition with a court Interviewer online to waive the filing fees. Once the petition is granted by a judge then we proceed with their filings. | Credit/debit cards, Cash at court (includes checks, money orders) |
| RI | ALL | CMS eFiling | No decision has been made on this issue | Credit/debit cards |
| SD | all | South Dakota E-filing | No decision has been made on this issue | Credit/debit cards, Cash at court (includes checks, money orders) |
| TX | ALL | Statewide eFiling | We allow for waiver as part of the e-filing process | Credit/debit cards, Cash at court (includes checks, money orders) |
| Utah | All | Electronic court records system | No separate e-filing fee | Credit/debit cards, Cash at court (includes checks, money orders) |
| VA | All | E-Filing | | |
| WA | ALL | We are not e-filing at this time. | No decision has been made on this issue | unknown |
| WI | ALL | E-filing | We do not allow for waiver as part of the process | Credit/debit cards |

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Appendix IV --
Verification and Branching

| State | Counties | Project Name | How do you deal with issues relating to identity/verification/signatures? | If you have an identification process, please give a detailed answer | Branching Data Gathering | Branching Data Operation |
|-------|------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| AK | ALL | No official name yet. Still referred to as the trial court e-filing project. | NOT YET DECIDED. EXPECT WE WILL ACCEPT ONLINE REPRESENTATION. DON'T SEE FALSE FILINGS AS SIGNIFICANT ISSUE. | | For e-filing and underlying document | NOT YET KNOWN. GOAL IS #3. |
| AL | ALL | AlaFile/AlacourtPluses | Use other verifiable data element | Self represented - affidavit and government issued identification. Attorneys - state bar identification number and identification number issued by the AOC. | For e-filing and underlying document | Not sure I follow the question. User identifies the case and document type, provides relevant data and the document to be e-filed is autogenerated in some cases and in others the user uploads a pdf image of the document to be filed. |
| AR | Pulaski (F | Arkansas Electronic Filing Pilot Project | For attorneys, we use the registration process, for self-represented we have not yet determined | | For underlying document only | Not yet determined |
| CA | All | CA does not have statewide e-filing/Appellate E-Filing project in process/a trial courts e-filing workstream is also looking at state standards and e-filing initiatives | No decision to-date at statewide level | Still in requirement definition phase/although local jurisdictions who have e-filing require them to file with EFSP. A similar process is being examined for Appellate Courts in CA. | For neither part | A few local trial courts using I-CAN or e-forms developed as Smart Forms for a number of Judicial Council forms which can be filled out on-line. |

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|-------|----------|---------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| CT | ALL | Family E-Filing | Use other verifiable data element | Identification of Attorneys involves verifying the juris number related to teh attorney/firm that is logged into the e-filing system. SRP signature is expected to work in a substatially similar way, using the information related to the logged-in user to verify the identity of the filer. | For e-filing and underlying document | Requires registration into the court's system, which automatically registers into the document assembly systems |
| CT | ALL | Civil E-Filing | Use other verifiable data element | Identification of attorneys whao are e-filing documents/cases involves verifying the juris number related to the attorney/firm that is logged into the e-services system. | For e-filing and underlying document | Requires registration into the court's system, which automatically registers into the document assembly systems |
| DE | ALL | Criminal & Family Court e-filing initiative | Use other verifiable data element | | For neither part | Requires registration into the court's system, which automatically registers into the document assembly systems |
| DE | ALL | Civil e-filing project for limited jurisdiction courtrs | Through debit account established with the court; self-represented litigants who file a limited number of complaints each year are not required to file electronically and the court enters their complaints for them. | | Yes for the e-filing part only | Requires the user to register in the court's system, and then a separate document assembly system |

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|-------|-----------|--------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------|
| DE | ALL | E-filing civil (general jurisdiction/appellate courts) | Use other verifiable data element | Vendor has identification process established. | Yes for the e-filing part only | register with the vendor using court ID number for identification as well |
| FL | statewide | Electronic Filing | Accept online representation | Attorneys must list their Florida Bar Number. When they register they are given a login ID and password.. The system uses the Bar number to verify that the user is admitted to practice in Florida, and a member in good standing with The Florida Bar. | Yes for the e-filing part only | |
| GA | All | Committee on E-filing in the Judicial System | No decision yet made | | Don't know | |
| HI | ALL | JIMS Appellate | Rules were adopted/promulgated to allow electronic signatures | | Don't know | |
| HI | ALL | District Court - JIMS Project | verification for attorneys done through registration by the attorney or the attorney's firm. Not certain of the verification for SRL - it could be verified in court. | | Yes for the e-filing part only | |
| IA | ALL | Electronic Document Management System (EDMS) Project | Accept online representation | Integrated with EDMS and in court, if required | For neither part | |

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Verification and Branching

| State | Counties | Project Name | How do you deal with issues relating to identity/verification/signatures? | If you have an identification process, please give a detailed answer | Branching Data Gathering | Branching Data Operation |
|-------|---------------------|-------------------------------------------------------------------|---------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------------------|
| idaho | all | Court Technology Committee transition to ISTARs ENTERPRISE | still discussing final plans | | Don't know | Allows users to access the system to prepare the document without registering in the court's system |
| IL | ALL | e-filing survey | | | | |
| IN | ALL | Electronic Filing-Court Survey | | | Don't know | |
| KS | ALL | E-Filing Project | Accept online representation | | For neither part | |
| LA | All | Louisiana Supreme Court e-filing | | | For neither part | |
| LA | JEFFERSON | E-FILING | | | | |
| LA | Many | Louisiana First Circuit EFiling Project | We have a registration process for ENotification | | Don't know | Requires the user to register in the court's system, and then a separate document assembly system |
| LA | Northern | Second Circuit Court of Appeal | No decision yet | No decision yet | Don't know | No decision yet |
| ME | All Maine | eFiling Maine | | | Don't know | |
| MI | Antrim, Grand Haven | 13th Circuit Court Pilot Project | software tracks address of the filer | | Yes for the e-filing part only | |
| MI | Macomb | E-Filing Pilot Project in the 16th Circuit Court | Require subsequent proof at court | Filers register an e-file account. Any identity issues are resolved at court hearings or status conferences when the parties are present. | For neither part | |
| MI | Wayne | eFiling Civil Division | Accept online representation | | Don't know | Document Assembly is not part of the eFiling system. |

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|-----------|----------------|------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| MN | ALL | eCourt MN | Court rule allows for electronic signature of /s/Name. If notarization is required, that must meet electronic notary requirements, or print out the paper, sign and scan. | | For e-filing and underlying document | Requires registration into the court's system, which automatically registers into the document assembly systems |
| MO | Supreme | Missouri eFiling System | Use other verifiable data element | Only licensed Missouri attorneys in good standing can access the Missouri eFiling System. Attorney standing is checked with the Missouri Bar Association on a daily basis. The identifier in this process is the bar number. | Don't know | Requires registration into the court's system, which automatically registers into the document assembly systems |
| MT | All | Montana Statewide E-filing | Unknown at this time | | Don't know | |
| ND | ALL | E-FILE & SERVE | Accept online representation | | For neither part | |
| NE | ALL | E-filing | | | For neither part | |
| NH | ALL | NH e-Court Project | Accept online representation | | Yes for the e-filing part only | This is an aspect of design that has not been completed. |
| NM | ALL | New Mexico File & Serve | Currently, attorneys must e-file and must register, at which time they are given a unique password for use in the e-filing system. | Each filing attorney has a unique password for e-filings. | For neither part | |
| NV | NV | EFiling | | | | |

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| State | Counties | Project Name | How do you deal with issues relating to identity/verification/signatures? | If you have an identification process, please give a detailed answer | Branching Data Gathering | Branching Data Operation |
|-------|-----------|---------------------------------------------|---------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| OH | ALL | Case and Document Management System (C/DMS) | See answer to question #23. | We are in the process of planning and developing the authentication system to verify the identity of filers and as a result, we do not have the process established yet. | Don't know | We are planning for this portion of our system |
| OR | All | Electroinc Filing | Accept online representation | | For e-filing and underlying document | Requires registration into the court's system, which automatically registers into the document assembly systems |
| PA | Appellate | Appellate Court e-filing system (PACFile) | Accept online representation | | Yes for the e-filing part only | |
| PA | Chester | Civil eFiling | Use credit/debit card | | Don't know | |
| Pa | Delaware | Delaware County Civil/Family E-Filing | Accept online representation | | Yes for the e-filing part only | Allows users to access the system to prepare the document without registering in the court's system |
| PA | Lehigh | Lehigh County Civil EFiling Pro9ject | Accept online representation | | Yes for the e-filing part only | Requires registration into the court's system, which automatically registers into the document assembly systems |
| PA | Montgom | E-Filing Survey | Accept online representation | | Yes for the e-filing part only | |

Accessible E-Filing

Note: Descriptions of e-filing rules and processes are for policy and planning purposes only. Litigants should obtain current information direct from the appropriate court

Appendix IV --
Verification and Branching

| State | Counties | Project Name | How do you deal with issues relating to identity/verification/signatures? | If you have an identification process, please give a detailed answer | Branching Data Gathering | Branching Data Operation |
|-------------|------------------|------------------------------------------|---------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PA | Philadelp | CLAIMS | Accept online representation | Attorneys are given a user name and protected password to the Claims system and their identification is their state ID numbers. Private Users are given user name and passwords. | For e-filing and underlying document | Requires registration into the court's system, which automatically registers into the document assembly systems |
| RI | ALL | CMS e-filing | not e-filing yet | | Don't know | e-filing not done yet |
| SD | all | South Dakota E-filing | still to be determined | | Don't know | not sure at this point |
| TX | ALL | Statewide eFiling | Accept online representation | | For neither part | |
| Utah | All | Electronic court records system | Accept online representation | | For e-filing and underlying document | Utah's On-Line Court Assistant (OCAP) requires self-represented litigants to register with OCAP. Once registered, the system uses Hot Docs to create a conversation that collects the data to be used by the document assembly system. OCAP generates the appropriate PDF documents to file with the court from the Hot Docs conversation. |
| VA | All | E-Filing | | | | |
| WA | ALL | We are not e-filing at this time. | unknown | | Don't know | |
| WI | ALL | E-filing | Accept online representation | | Yes for the e-filing part only | |

Accessible E-Filing

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| State | Counties | Project Name | CMS Integration | Data Integration Operation | Does the system include the capacity for formal completion of service of the initial process in a case? If Yes, how does this service of process system work, particularly for self-represented litigants? | Does the system provide for filing of subsequent documents, such as evidence? If yes, please describe how this system of filing subsequent evidence works, particularly for the self-represented. | Does the system provide for ongoing electronic communication with litigants? If yes, please describe how this system of ongoing communication works, particularly for the self-represented. |
|-------|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| AK | ALL | No official name yet. Still referred to as the trial court e-filing project. | Yes, identification information only | WE'RE STILL IN THE PLANNING STAGES. BUT WILL LIKELY END UP WITH SYSTEM THAT ENTERS IDENTIFICATION INFORMATION INTO CMS IN ALL CASES BUT IN CERTAIN CASES SAVES UNDERLYING FACTS IN SEPARATE DATABASE. | AGAIN, WE ARE STILL IN THE PLANNING STAGES. WE WANT EFILING SYSTEM TO MANAGE INITIAL SERVICE OF PROCESS BUT WE'RE NOT CERTAIN HOW THIS WILL BE ACCOMPLISHED. | No AGAIN, OUR GOAL IS TO STORE TRIAL EXHIBITS IN OUR DOCUMENT MANAGEMENT SYSTEM AS WELL AS EVIDENCE SUBMITTED WITH MOTIONS, AFFIDAVITS, ETC. ANTICIPATE THAT SOME FILERS WILL SUBMIT EVIDENCE ELECTRONICALLY THROUGH THE EFILING SYSTEM WHILE OTHER FILERS REQUIRE MORE ASSISTANCE, E.G., SCANNING BY COURT STAFF. | Yes |
| AL | ALL | AlaFile/AlacourtPlus | Yes, identification information and underlying facts | The application captures relevant data input by the user and that data is transferred to the court's case management system. | Summons is issued by the application when complaint or other process is e-filed. When service is completed by process server (not sheriff or certified mail), the return of service is e-filed by the party serving process. | Trial evidence is submitted conventionally. Evidence in support of a motion, etc. may be filed electronically. | Limited - service copies of documents are provided to parties and/or attorneys as appropriate via email to the address given by the user during registration process. Some limited ability for court personnel to email the attorneys or others from the e-filing system. |
| AR | Pulaski (Pilot) | Arkansas Electronic Filing Pilot Project | Yes, identification information and underlying facts | The identification information and type of filing as well as any text entered by the filer are loaded into the case management system. | No | Yes, the filer identifies the type of subsequent filing and attaches the supporting document(s) | Yes. Courtesy notices of conventional and electronic filing are e-mailed to participants that have electronic filing accounts |
| CA | All | CA does not have statewide e-filing/Appellate E-Filing project in process/a trial courts e-filing workstream is also looking at state standards and e-filing initiatives | Yes, identification information and underlying facts | Unknown at this time-currently a requirement defined for appellate courts. A few local trial courts have developed within their CMS to receive from EFSP. | Upon acceptance of the filing by the clerk, the system will automatically serve all case participants that have requested service by e-mail. Service to case participants that have requested service by US Postal Service are the responsibility of the filer (self-represented litigant must print documents to serve and mail them to the service by USPS participants). | Yes, in the local trial courts who have implemented e-filing. Unknown at this time for appellate courts-however defined as a requirement. | Only noticing of filing being received or error in filing-not accepted/mostly performed by EFSP, or sent from local CMS after clerk review. Unknown at this time for appellate system. |
| CT | ALL | Family E-Filing | Yes, identification information only | E-filing and case management are one system. | No | Attorneys and Self-Reps will be able to file any documents online via upload of pdf or system-assisted document generation. | Court notices, court dates and all electronic documents can be accessed by attorneys and self-reps who are registered for e-filing. |
| CT | ALL | Civil E-Filing | Yes, identification information only | E-filing and case management are one system | No | Attorneys can file any documents online via upload of pdf or system-assisted form generation. Self-rep e-filing is planned for May, 2013 | Court notices, orders and scheduled court dates can be accessed online in real time by the public on most civil cases. |

Accessible E-Filing

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| State | Counties | Project Name | CMS Integration | Data Integration Operation | Does the system include the capacity for formal completion of service of the initial process in a case? If Yes, how does this service of process system work, particularly for self-represented litigants? | Does the system provide for filing of subsequent documents, such as evidence? If yes, please describe how this system of filing subsequent evidence works, particularly for the self-represented. | Does the system provide for ongoing electronic communication with litigants? If yes, please describe how this system of ongoing communication works, particularly for the self-represented. |
|-------|-----------|------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| DE | ALL | Criminal & Family Court e-filing initiative | Yes, identification information and underlying facts | | Yes | Documents are scanned or otherwise attached to the filings | Communications are completed through the regular process (paper typically) |
| DE | ALL | Civil e-filing project for limited jurisdiction courts | Yes, identification information and underlying facts | The case management and e-filing systems are integrated. | No | If the self-represented cannot scan and submit the information, the court clerk will take care of that for them. | No |
| DE | ALL | E-filing civil (general jurisdiction/appellate courts) | Yes, identification information and underlying facts | The Courts, also, can use the e-filing system to access information easily -- it was designed for that purpose. | Not applicable. | Can file any paper evidence | By email but for a pro se (not in the system), everything is mailed |
| FL | statewide | Electronic Filing | Yes, identification information only | Data elements for each filing are electronically collected when a document is filed. | No | | Users receive electronic notifications of receipt, acceptance, or rejection of their filing |
| GA | All | Committee on E-filing in the Judicial System | No | | No | No | |
| HI | ALL | JIMS Appellate | Yes, underlying facts only | E-filer prepares and assembles documents for e-filing, uploads the documents in the system; system only reflects documents/info entered by the e-filer. | An e-mail notification to all the parties listed on the case is auto-generated by the system once a document is e-filed. If pro se litigants are not registered as efilers, system will indicate that they have to be "conventionally" served (mail, personal delivery, etc.) by the filing party. | No | No |
| HI | ALL | District Court - JIMS Project | Yes, identification information and underlying facts | Mandatory fields completed by the user direct data automatically into the court's case management system. | If the SRL efiles a document, a notice of electronic filing (NEF) is sent to other e-filing parties involved in the case. If the other party is not an e-filer, then conventional service of process is required. | No | Yes |
| IA | ALL | Electronic Document Management System (EDMS) Project | Yes, identification information and underlying facts | Data entered by filer and verified by clerk during acceptance. | Filer is required to serve paper document and returns are filed electronically. | Filer chooses document type, enters required information, and uploads documents for submission to the court. | All registered parties are served each filed document electronically; those parties exempted from the e-filing process are served by paper. |
| idaho | all | Court Technology Committee transition to ISTARs ENTERPRISE | No | still developing final plans | still discussing final plans | still discussing final plans | Yes |
| IL | ALL | e-filing survey | | | | | |
| IN | ALL | Electronic Filing-Court Survey | No | | No | No | Yes. Email addresses must be furnished. |
| KS | ALL | E-Filing Project | Yes, identification information and underlying facts | | Yes | yes | Yes |
| LA | All | Louisiana Supreme Court e-filing | | | No | Yes | Yes |
| LA | JEFFERSON | E-FILING | | | | | |

Accessible E-Filing

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| State | Counties | Project Name | CMS Integration | Data Integration Operation | Does the system include the capacity for formal completion of service of the initial process in a case? If Yes, how does this service of process system work, particularly for self-represented litigants? | Does the system provide for filing of subsequent documents, such as evidence? If yes, please describe how this system of filing subsequent evidence works, particularly for the self-represented. | Does the system provide for ongoing electronic communication with litigants? If yes, please describe how this system of ongoing communication works, particularly for the self-represented. |
|-------|-------------------------------------|--------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| LA | Many | Louisiana First Circuit E Filing Project | No | | Not Applicable to the Appellate Court? | The appellate court does not accept evidence so the documents to be filed would be pleadings and arguments only | We have a separate ENotification system for issuances from the Court; we do not engage in electronic conversation with that system nor do we expect to do so with the E-filing system. |
| LA | Northern 20 Parishes of LA | Second Circuit Court of Appeal | No | No decision yet | No decision yet | No system yet | No system yet |
| ME | All Maine Counties (16) | eFiling Maine | No | | No | No | No |
| MI | Antrim, Grand Traverse and Leelanau | 13th Circuit Court Pilot Project | Yes, identification information only | quite technically | the return of summons is file and scanned into the system by the clerk | any document can be attached to a primary document (brief for example) and filed | No |
| MI | Macomb County | E-Filing Pilot Project in the 16th Circuit Court | Yes, identification information only | After acceptance, the document is loaded into our document management system and a docket entry is automatically made in case management system. | No | We are only doing subsequent filings currently with specific case codes. Filers use the same system to log in, enter the case information, and efile new documents with the Court. | Filers can choose the option to electronically serve the other parties in the case the documents they are filing with the Court. There is no other means of communicating with the other parties through the e-file system. |
| MI | Wayne | eFiling Civil Division | Yes, identification information only | Interface between eFiling application and case management system. | Filer must include themselves for service on a case. | The process is the same as all other eFiled documents. | email is used for communicating. |
| MN | ALL | eCourt MN | Yes, identification information and underlying facts | We do not have anything in place yet. The plan is to have a document created electronically, to tag the data needed to create the case in the court's case management system, and have that data and the forms transmitted to the court to avoid any separate data entry by the filer or the clerk related to e-filing or creating the case in the CMS. | In MN service commences the action. The e-filing rules require that the originating pleading be served the traditional way (cannot use the e-service system.) After initial service, the other party must "add themselves" to the case for e-service e.g. agree for each case to accept e-service . Then all subsequent service on the case is electronic. The mandatory e-filing rules for attorneys also state the the attorneys must add themselves to each case for e-service. Nothing is yet in place for SRLs but the vision is they will also participate in e-service after the initial pleading. | Attorneys can upload any document and e-file it using the vendor's e-filing portal. Evidence is generally not filed in the court file, but rather is admitted at the hearing and then returned/destroyed after the appeal period in civil matters. Some casetypes require filing of supporting documentation with the pleadings and that is the type of "evidence" that would be e-filed. SRLs are not doing this yet. | SRL is not occurring yet. The plan is for a SRL e-filing portal which the SRL accesses with a password. Messages will be sent via email or text to tell the SRL to go to the portal and see the message, or in some cases the message and link to documents, like filed stamped copy of document submitted, will be included in the email so you do not need to log into the portal. |

Accessible E-Filing

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|-------|---------------------------------------------------------------------------------|---------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| MO | Supreme Court of Missouri, Three Appellate Courts and the 11th Judicial Circuit | Missouri eFiling System | Yes, identification information and underlying facts | The court has an incoming filing queue that allows the court to add any additional information and match existing party information prior to entry into the courts case management system. | No | The filer or attorney can add an attachment or exhibit to the original document. | E-mails are sent to all attorneys of record when an entry is performed in the courts case management system if the court is participating in electronic filing. Emails are also sent at receipt of submission, upon acceptance, if court staff holds or returns, between parties for eService. |
| MT | All | Montana Statewide E-filing | No | | Yes | Yes | Unknown at this time |
| ND | ALL | E-FILE & SERVE | Yes, identification information only | | Yes | Yes | No |
| NE | ALL | E-filing | Yes, identification information and underlying facts | | No | Yes. Self represented are not able to e-file at this time | Yes |
| NH | ALL | NH e-Court Project | Yes, identification information only | Phase I will merely capture the e-filing. In Phase II we will populate the CMS. | No | Scan the doc and file. If necessary, bring the doc to the court to have it scanned into the system. | The concept is for ongoing communications by email. Design has not been worked out yet. |
| NM | ALL | New Mexico File & Serve | Yes, identification information and underlying facts | Upon acceptance of the e-filed document, data fields from the e-filing upload to the cms, including attachment of a unique case number upon initial filing to open the case. | Currently, only registered attorneys e-file. SLRs involved in a case can opt to register an e-mail address for service. It is not yet determined how SLRs will use e-service when they become e-filers. | All e-filed documents are part of the official court record. Any paper documents filed by the SLR (or by any party in any case) are scanned into the official record. The SLR can view all e-documents through computers available at the court and have electronic or paper copies of documents for the case in which the SLR is a litigant. | Attorney filers are required to provide an email address for service and communications. SLRs can provide an email address as well, or receive everything by regular mail. |
| NV | NV | EFiling | | | | | |
| OH | ALL | Case and Document Management System (C/DMS) | Yes, identification information and underlying facts | We are in the planning stages for this portion of our system and we expect that data entered during the e-filing process will be deposited into our C/DMS | We anticipate that the e-filer will be responsible for service of process via email, mail or personal service. | Again, we are in the planning stages but expect that evidence and lower court records will be transmitted electronically in, for example, a searchable PDF format. We will also expect that the evidence and lower court record will be organized and indexed so that individual documents or portions of documents will be easily found and retrieved. | If a person registers to file electronically, they will be required to provide us with an email address and will also be required to keep us updated, when any address change occurs, just as they are required now. We will send communications via the email address. |
| OR | All | Electroinc Filing | Yes, identification information and underlying facts | Using Tyler File and Serve which populates the Tyler Odyssey Product. Using LTCourtTech e-filing portal which populates our ACMS system | Must register to receive service electronically. If not registered, then manual process required. | If attachable as a PDF. | email notices of hearings, filings by the other party available on-line |

Accessible E-Filing

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|-------|------------------|-------------------------------------------|------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PA | Appellate courts | Appellate Court e-filing system (PACFile) | Yes, identification information and underlying facts | When a case is e-filed - the system creates docket entries in the appellate court case management system to initiate the case. The system also pulls up case information that exists in any lower court systems that the AOPC maintains so that this information does not have to be reentered. | Will separately send a copy of our user manual. | Not evidence, but subsequent pleadings such as briefs can be filed. | The filing office can put notes in the system telling the litigants if they need to amend, correct, etc. filings. The system sends an email to the litigant at their email address that notifications are available and the litigant must log in to the system to get these notices. |
| PA | Chester | Civil eFiling | Yes, identification information and underlying facts | will know more through during our discovery process with selected vendor | No | scan the document(s) and attach as an attachment | not known at this time but needed to mark something as it's required |
| Pa | Delaware | Delaware County Civil/Family E- Filing | Yes, identification information only | | No | No | SRL are able to access forms and can seek information through e-mails to Court. |
| PA | Lehigh | Lehigh County Civil E Filing Pro9ject | Yes, identification information and underlying facts | | No | It may either be efiled or filed in paper. The Clerk of Judicial Records will then scan the documents | No |
| PA | Montgomery | E-FILING Survey | Yes, identification information and underlying facts | | No | | Yes |
| PA | Philadelphia | CLAIMS | Yes, identification information and underlying facts | Claims is an entire electronic filing system that automatically enters all data about the case into the case management system. Initial Filings; Petitions; counter-claims; set off pleadings; service of process affidavits; writs of execution are all done online. Disposition are processed live and in real time in the courtrooms. | The system generates a complete service packet along with the affidavit of service which is Bar Coded. Once service is completed the filing party scans in the return that automatically links to the case and our CMS | Any additional documents after the initial filing is completed can be forwarded to the court for scanning into the system. | All registered parties within the system can communicate thru our email system and receive notices from our court. |
| RI | ALL | CMS e filing | No | not e filing yet | not e filing yet | not e filing yet | email |
| SD | all | South Dakota E-filing | Yes, underlying facts only | | No | yes | |
| TX | ALL | Statewide eFiling | No | | Yes | yes | |

Accessible E-Filing

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| State | Counties | Project Name | CMS Integration | Data Integration Operation | Does the system include the capacity for formal completion of service of the initial process in a case? If Yes, how does this service of process system work, particularly for self-represented litigants? | Does the system provide for filing of subsequent documents, such as evidence? If yes, please describe how this system of filing subsequent evidence works, particularly for the self-represented. | Does the system provide for ongoing electronic communication with litigants? If yes, please describe how this system of ongoing communication works, particularly for the self-represented. |
|------------|------------|---------------------------------------------|------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Utah VA | All All | Electronic court records system E-Filing | Yes, identification information and underlying facts | The metadata is transmitted to the court as an XML envelope together with the supporting documents as an embedded attachment. The XML is parsed and written to the case management system. The document is docketed, indexed, and written to the document management system. | Original service is still done manually. All other service and notices are provided electronically. | Documents, exhibits, or other documents are attached to pleadings. | The court's case management system notifies parties to a case of events that occur on that case. The notice is provided both as a message to the certified electronic filing service provider and by e-mail. For self-represented litigants, the OCAP system tracks events on the case, notifies the individual of the next events on the case, and allow the individual to obtain their case status on-line. |
| WA | ALL | We are not e-filing at this time. | No | | No | No | No |
| WI | ALL | E-filing | Yes, identification information only | Identifying information about the parties, attorneys, type of case and type of document being filed are entered into the case management system. The document itself is uploaded as a PDF document and electronically filed. | No | Any document can be efiled, including documentary exhibits and affidavits. | Emails to parties. |

Accessible E-Filing

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| State | Counties | Project Name | Are there access to justice advocates on either planning or management groups for e-filing? If so, please list. | If there is targeted outreach to user community, please describe. | Is there any special outreach to legal aid agencies, self-help centers or others who serve self-represented litigants? If so, please describe. | Please identify any significant barriers to adoption of e-filing for and by the self-represented, and what you have done to overcome them. | Please give us any ideas on best practices in any of the above areas. |
|-------|-----------------|------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|
| AK | ALL | No official name yet. Still referred to as the trial court e-filing project. | THE INITIAL GOVERNANCE COMMITTEE FOR THE PROJECT INCLUDES COURT PERSONNEL ONLY. ADDITIONAL PLANNING COMMITTEES WILL BE ESTABLISHED AND WILL INCLUDE MEMBERS FROM OUTSIDE THE COURT SYSTEM. BUT WE HAVE MANY INTERNAL ACCESS TO JUSTICE ADVOCATES. SEVERAL JUDGES AND COURT STAFF ARE PASSIONATE ABOUT ACCESS TO JUSTICE AND SERVICE TO PRO SE LITIGANTS. | | THE LARGEST SELF-HELP CENTER IN THE STATE IS OPERATED BY THE COURT SYSTEM. WE WORK CLOSELY WITH OTHER LEGAL AID GROUPS, HOWEVER, AND WILL DO SPECIAL OUTREACH TO THEM. | WE EXPECT THAT EFILING WILL MAKE IT EASIER FOR SELF-REPRESENTED LITIGANTS TO DO BUSINESS WITH THE COURT SYSTEM BECAUSE WE ARE COMMITTED TO DEVELOPING SMART FORMS TO GUIDE THEM THROUGH THE PROCESS. | |
| AL | ALL | AlaFile/AlacourtPlus | No | Training provided to local bar and court personnel - at this time, primarily upon request. | Yes - consultation with these groups and individuals to assist in determining how best to serve these litigants. | Identification of these users in an online environment. Problem addressed through a registration process. However, the registration process costs (non-financial) may discourage one time users in some cases - easier to just paper file. | Solve problem identified in #41. |
| AR | Pulaski (Pilot) | Arkansas Electronic Filing Pilot Project | No. Have consulted with Access to Justice Advocates | | | Authentication of filer identity is chief concern, and haven't identified reliable way to overcome this concern. | |

Accessible E-Filing

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| State | Counties | Project Name | Are there access to justice advocates on either planning or management groups for e-filing? If so, please list. | If there is targeted outreach to user community, please describe. | Is there any special outreach to legal aid agencies, self-help centers or others who serve self-represented litigants? If so, please describe. | Please identify any significant barriers to adoption of e-filing for and by the self-represented, and what you have done to overcome them. | Please give us any ideas on best practices in any of the above areas. |
|-------|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CA | All | CA does not have statewide e-filing/Appellate E-Filing project in process/a trial courts e-filing workstream is also looking at state standards and e-filing initiatives | Legal Aid for trial courts, local outreach to track and aid self-represented litigants in filing, legislature in passing new legislation. | Some outreach to local bar. Local e-filing initiatives published in media forums. | Yes, at local trial courts levels in their self-help centers, Legal Aid serves as an EFSP in some jurisdictions, utilizing CAN. | Ability to establish forums and mechanisms that are currently designed by EFSPs to set up accounting structure and collection of fees | CA has current legislation AB2073 provides Orange County Superior Court the authority to mandate E-Filing as a pilot subject to CA Rules of Court effective Jan. 1, 2013 Directs the Judicial Council to: Evaluate pilot on or before Dec. 31, 2013 Adopt uniform rules on or before July 1, 2014 "Voluntary" Adoption (after 2+ years): Limited Civil: 5% of all filings Unlimited Civil: 40% of all filings |
| CT | ALL | Family E-Filing | Krista Hess - Manager of the Judicial Branch Court Service Centers | The system is still in development for family so the marketing has not yet been planned. It is logical to assume that we will target self-rep parties. | The system is still in development for family. It is logical to assume that there will be outreach to these organizations. | We are currently working on these issues by enhancing the attorneys' site for civil to allow optional self-rep e-filing, and then learning from that experience we can identify the barriers that will exist for self-reps in family. | Ensuring the identity of the self-rep party when allowing them to e-file has been a significant issue. |

Accessible E-Filing

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| State | Counties | Project Name | Are there access to justice advocates on either planning or management groups for e-filing? If so, please list. | If there is targeted outreach to user community, please describe. | Is there any special outreach to legal aid agencies, self-help centers or others who serve self-represented litigants? If so, please describe. | Please identify any significant barriers to adoption of e-filing for and by the self-represented, and what you have done to overcome them. | Please give us any ideas on best practices in any of the above areas. |
|-------|----------|--------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| CT | ALL | Civil E-Filing | Krista Hess - Manager of the Judicial Branch Court Service Centers | Target audience is generally attorneys, since e-filing is mandatory for them. | Not at this time, although it is contemplated for when we have optional e-filing for self-represented parties beginning in May, 2013 | We are currently modifying the attorney's e-filing site to allow self-represented parties the option of e-filing. | Ensuring the identity of the SRP when allowing them access to the e-filing system has been a significant issue. |
| DE | ALL | Criminal & Family Court e-filing initiative | | This is anticipated - not yet designed | There will be related to family court matters but since criminal are handled through the police, prosecutors, public defenders and attorneys, the outreach will be to those groups. | We are still exploring how to develop an interactive system to meet Family Court litigants' needs. | |
| DE | ALL | Civil e-filing project for limited jurisdiction courts | There were focus groups involved in planning, such as Community Legal Aid; although the system was constructed as simply as possible, given that it was based upon an existing vendor system, but not specifically focused on the self-represented. | | There was when the system was originally launched. | Re filing fee -- the filing fee is 50 cents per document, which provides some resources to assist the courts with technology needs but not substantial resources; we have implemented interactive forms through the ATJ legal aid system for one entry level court and are looking towards implementing interactive electronic form completion in our upcoming technology projects | |

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| State | Counties | Project Name | Are there access to justice advocates on either planning or management groups for e-filing? If so, please list. | If there is targeted outreach to user community, please describe. | Is there any special outreach to legal aid agencies, self-help centers or others who serve self-represented litigants? If so, please describe. | Please identify any significant barriers to adoption of e-filing for and by the self-represented, and what you have done to overcome them. | Please give us any ideas on best practices in any of the above areas. |
|-----------|-----------|-------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|
| DE | ALL | E-filing civil (general jurisdiction/apellate courts) | Depends what you mean by justice advocate -- we include attorneys (since these courts most frequently have attorneys representing parties) from a variety of perspectives | | | Self-represented litigants' submissions are handled personally by the clerks -- they enter everything into the system | |
| FL | statewide | Electronic Filing | Members of the Florida Supreme Court Florida Courts Technology Commission, which is responsible for developing statewide standards governing electronic filing, include attorney and judge members who advocate for access to justice. . | There has been targeted outreach to members of The Florida Bar. | No. | | |
| GA | All | Committee on E-filing in the Judicial System | No | | | Multiple jurisdictions with multiple case management systems make any system complicated and much to be worked out. | |
| HI | ALL | JIMS Appellate | No | | | | |

Accessible E-Filing

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|-------|----------|-----------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| HI | ALL | District Court - JIMS Project | No | Hawaii State Bar Association has disseminated information. | Yes, we have in the courthouse: 1. Service Centers - staff members give legal information and assist with providing court forms and with completing the forms (which are also available online). 2. An "Access to Justice Room" where volunteer attorneys provide legal advice to SRLs is open on several days of the week or month, depending on the particular courthouse. | 1. Budget limitations 2. Insufficient staffing | This system for criminal case is too new to offer best practices. It was launched on August 13, 2012. |
| IA | ALL | Electronic Document Management System (EDMS) Project | No. Iowa Legal Aid currently using our EDMS in counties where it is implemented; they provide feedback. | In preparation for EDMS, court staff have attended local and state bar association meetings; provide pre-implementation notices to local community of upcoming local EDMS filing and training classes. | Judicial Branch I.T. staff met with Iowa Legal Aid prior to implementation in the first county and include them in notices about upcoming implementation in new counties. | Training; clerks spend time giving instructions to self-represented litigants. | Partner with local entities that have computers available for public use (e.g., libraries). |
| Idaho | all | Court Technology Committee transition to ISTAR ENTERPRISE | justice advocates will participate in the desing and implementation committee | | | | |

Accessible E-Filing

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|-------|-----------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|
| IL | ALL | e-filing survey | ensuring that e-filing can be managed via the internet;; a kiosk in a clerk's office, or not required if litigant unable to access technology | | | | |
| IN | ALL | Electronic Filing-Court Survey | The E-filing pilot projects are limited in scope and case types. | | | Computer terminals are available in the courts using e-filing, but if the self-represented litigant does not have a computer or computer access it is a huge barrier. | Ready and open access to computer terminals in a convenient location is a must. |
| KS | ALL | E-Filing Project | Yes (please list any such roles in next question) | We will work with the state Bar Association. | | Not applicable. | |
| LA | All | Louisiana Supreme Court e-filing | You would need to define "access advocates" for me to give a good answer. Basically, our project included a focus group of close to 40 attorneys who gave input on the system. It is currently only open to Louisiana licensed attorneys who are in good standing. LCC is being developed to allow self-represented litigants but this is currently not in our system. | We have the intermediate appellate courts including with their decisions a notice of the availability of electronic filing at the Supreme Court. | No | No significant barriers to adoption of e-filing for attorneys. Authentication of self-represented may be challenge if and when we open electronic filing to them. | |
| LA | JEFFERSON | E-FILING | No | | | | |

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|-------|-------------------------------------|------------------------------------------|-----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| LA | Many | Louisiana First Circuit E Filing Project | No | We will send notices with the ENotification emails and also put notices in U.S. mailings | Not at the current time | The Judges of the Court were hesitant to start E Filing, although now, as long as it is not mandatory, they have accepted it. | We have begun working with prisons to send them court documents on CD to save paper and shipping costs...we anticipate that we might be able to expand this concept to electronic filing, depending upon the acceptance by the correctional facility. |
| LA | Northern 20 Parishes of LA | Second Circuit Court of Appeal | No | No system yet | No system yet | No system yet | No system yet |
| ME | All Maine Counties (16) | eFiling Maine | Maine Bar Association Maine Judicial Branche's internal Access to Justice Group | | | | |
| MI | Antrim, Grand Traverse and Leelanau | 13th Circuit Court Pilot Project | to create procedures and software for the self represented | email to the court's list serv, in-service training and bar association newsletter | legal aid and womens resource center | the subset of people who are without a credit card or a computer or both can have paper scanned into the system by the clerk for free if they are indigent | |

Accessible E-Filing

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|-----------|---------------|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| MI | Macomb County | E-Filing Pilot Project in the 16th Circuit Court | To ensure we are improving the public's access to justice through the implementation of new technologies. | | | Technology Ability and means for the self-represented. We are looking to improve our system to in a way that the public will have access to electronically file at the court even if they do not have computer or internet access. We are also looking into a means of creating the documents through a Q and A interface for self-represented litigants. | The solution should be web based and support different operating system and browser platforms. The system should have 24/7 online / telephone support for all users. The court should provide sufficient computer access onsite for the public to use in case the litigants do not have a computer or internet access. |
| MI | Wayne | eFiling Civil Division | No | | | Our eFiling rules are written so self represented can understand. Help is available from court and vendor. | Keep the system as simple as possible. |

Accessible E-Filing

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|-------|----------|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| MN | ALL | eCourt MN | MN is developing a way to use A2J and LHI to create forms and e-file them into the court's vendor system via a Court Integration Broker. This is grant funded in part, and legal aid is the project grantee/sponsor, Pro Bono Net is doing LHI development work, Legal Aid in MN is creating the A2J interviews, and Richard Zorza is creating Best Practices for SRLs. The SHC manager is leading the project for the courts, and there will be input from DV advocates as the initial forms include OFP, and input from law librarians. | Two Districts have mandatory e-filing for attorneys and have done substantial outreach to attorneys through the bar and via notices and website announcements. This is being replicated in other counties as they roll out voluntary attorney e-filing. | State Court administrator met with the Legal Aid directors regarding e-filing. | Almost all SRL court forms include notarization. This is a barrier to using a system that integrates electronic document creation with e-filing as it means the SRL has to print out paper and scan it back in, and then the data elements and electronic integration of the form is lost. We are exploring alternatives. Another barrier is that the vendor e-filing portal is too complex for successful use by a pro se filer, and training is required before attorneys register to use the system. It isn't practical to have pro se parties use this system and it would require alot of assistance by court staff, undermining the goals of e-filing which include reduction of work by staff to create cases. | For SRLs, view e-filing as part of the overall SRL assistance provided by the court or other partners. Automate as much as possible to eliminate possibility of putting erroneous information into the CMS or needing to reject an SRL e-filing. Consider and map out the entire case life and how that will work with e-filing, especially for SRL filings. |

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|-------|---------------------------------------------------------------------------------|----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|
| MO | Supreme Court of Missouri, Three Appellate Courts and the 11th Judicial Circuit | Missouri eFiling System | No | Judges, clerks and attorneys learn about electronic filing when going to start electronic filing in each court. A court kick-off meeting is held. Attorneys can attend instructor lead webinars or CLE courses offered through Missouri Bar Association meetings. | N/A | The Missouri eFiling System does not allow self-represented parties to use at this time. | N/A |
| MT | All | Montana Statewide E-filing | The e-filing project has a specific committee dedicated to access issues esp. as related to pro se litigants | | | The project is just starting so unknown at this time. | |
| ND | ALL | E-FILE & SERVE | | Court's public website; State Bar Association listserv; listserv for registered users of the system | | | |
| NE | ALL | E-filing | There is an E-filing Subcommittee to the Court's Technology Committee that provides guidance on e-filing matters. The subcommittee is made up of judges, clerks and private sector attorneys. | | No | | |
| NH | ALL | NH e-Court Project | There are no members of our court governance whose responsibility is specifically to advocate for access to justice. At the same time, there is a strong commitment to increase access to justice by all who participate in the project. | | Legal Assistance and Legal Advice and Referral Center and Pro Bono Referral are among our stakeholders. | We are preparing an RFP for small claims cases which have a high percentage of self-represented litigants. We seek a form filler system. | |

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|-----------|------------------|---------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| NM | ALL | New Mexico File & Serve | ATJ advocates are working, through the statewide ATJ Commission, with the AOC Program Manager for ATJ and the AOC IT department, to develop forms for SLRs to use, both in qualifying for free process and in e-filing in litigation, especially DV and DR cases. | Repeated demonstrations, with Q&A, for local Bar groups, at annual Bar Convention, at meetings of paralegals and other law office personnel. Regular articles in weekly Bar Bulletin. | Hands-on demonstrations will occur when SLR forms are ready for e-filing. Development of e-forms for SLRs includes participation by these groups. | Court employees are unable to give legal advice, so forms for SLRs must be clear, in plain language, and sufficiently robust to generate documents with legal efficacy. | It is critical to make e-filing mandatory. Unyielding support from the Supreme Court is also essential. |
| NV | NV | EFiling | No | | | | |
| OH | ALL | Case and Document Management System (C/DMS) | No | We are planning to hold webinars, on-line self-paced tutorials, in-person training sessions for bar associations, & trainings in the Moyer Judicial Center when we are ready to roll out the new system. | No. We will request that the Ohio Public Defender's Office and the Ohio Attorney General's Office participate in our first pilot plan for the new system so they will be the first users. | Most of our pro se filers are prisoners. They will not be able to or required to e-file since they will not have internet access at this time. | |
| OR | All | Electronic Filing | Oregon State Bar - Planning Group, Self represented task force. | | | | |
| PA | Appellate courts | Appellate Court e-filing system (PACFile) | No | Brochures are available in the filing offices. We will do a press release when we are ready to go live statewide. | No | We have tried to make this system as user-friendly as possible - with a very detailed online help manual and system. I think the biggest barrier will be that we are not in position to do live user training for either attorneys or self-represented litigants but we are going to try to do some regional training for both groups if possible. | |

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|-------|--------------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PA | Chester | Civil eFiling | No | a formal decision has yet to be made but we anticipate targeting the legal community and providing info on the County web site | | | |
| Pa | Delaware | Delaware County Civil/Family E-Filing | No | Are in the Planning stages to publicize Civil E-Filing which is scheduled to start in May, 2013. Will hold seminars for potential users. | Will contact Delaware County Legal Aid who serve SRLs. | | |
| PA | Lehigh | Lehigh County Civil E-Filing Project | No | | | | |
| PA | Montgomery | E-Filing Survey | No | | | access to computers can be an issue. Since our system is not mandatory we have not had to deal with that issue directly. If/when we do go mandatory, we intend to arrange for public computer access in the filing office - with staff assistance for those requiring same. | |
| PA | Philadelphia | CLAIMS CMS | Judges; Court Managers; Attorneys were all included in the development aspects of the Claims system. | | Our Court has a Help Center for litigants on site and we supply court interviewers to assist clients to file their pleading online. | Court provides interviewers on site to assist individuals with their single filings and also we hold classes twice a year for individuals that file in bulk. | Training Manuals are provided for attorneys online and self-represented individuals must attend a training session that provides hands on training along with a manual; rules of civil procedure and forms. |
| RI | ALL | efiling | none at this time | | | efiling not done yet | efiling not done yet |

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|-------|----------|-----------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| SD | all | South Dakota E-filing | No | | | | |
| TX | ALL | Statewide eFiling | No | | | | |
| Utah | All | Electronic court records system | No | Court works closely with the Bar Association, and local courts work directly with the local bar association. Information is provided by on-line FAQs, video instruction hosted by the court, and CLE credits offered by the Bar Association. | In Utah, Legal Aid uses the system to perform filings for the court. Utah also operates a self-help service. The e-filing system provides links to the self-help center and the state law library for both on-line chat and phone listings. | Use of a credit card to pay filing fees. We have allowed individuals to create the filing forms electronically, then appear at the court to pay the fees and then file the forms electronically. | Utah provides video training on UTube, uses Hot Docs dialogs to capture filing information to assemble compliant documents. The OCAP system informs individuals of both events and requirements for their case. Utah provides links to and supports on-line chat between litigants and the court's self-help center. Utah provides links to all documents filed on the case to all parties on the case at no charge. |
| VA | All | E-Filing | No | | | | |
| WA | ALL | We are not e-filing at this time. | We don't have a planning group identified at this time however there will be ATJ reps on it when we do. | | | | |
| WI | ALL | E-filing | No | We are in the process of reaching out to targeted groups to publicize e-filing. | At this point we are still focusing on attorneys and high volume filers, such as utility companies. | We have not yet identified barriers. | |

Accessible E-Filing

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| State | Counties | Project Name | Please provide titles and links to any state generated reports on e-filing | Please send us links or materials you may have relating to your e-filing system. |
|--------------|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| AK | ALL | No official name yet. Still referred to as the trial court e-filing project. | | |
| AL | ALL | AlaFile/Alacourt Plus | None publically available online. | http://efile.alacourt.gov/ |
| AR | Pulaski (Pilot) | Arkansas Electronic Filing Pilot Project | | |
| CA | All | CA does not have statewide e-filing/Appellate E-Filing project in process/a trial courts e-filing workstream is also looking at state standards and e-filing initiatives | | A matrix which provides the current local trial courts using e-filing and contacts will be sent to Richard Zorza under separate cover. |
| CT | ALL | Family E-Filing | | Family is still in development, but will become part of the Civil E-Filing interface. Details on Civil are currently available at http://jud.ct.gov/external/super/E-Services/efile/ |

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|--------------|-----------------|---------------------------------------------------------|-----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CT | ALL | Civil E-Filing | | http://jud.ct.gov/external/super/E-Services/efile/ |
| DE | ALL | Criminal & Family Court e-filing initiative | | |
| DE | ALL | Civil e-filing project for limited jurisdiction courtrs | | |
| DE | ALL | E-filing civil (general jurisdiction/appellate courts) | | |
| FL | statewide | Electronic Filing | | http://www.floridasupremecourt.org/decisions/2012/sc11-399.pdf http://www.floridasupremecourt.org/decisions/2012/sc10-2101.pdf http://www.flclerks.com/eFiling_authority.html |
| GA | All | Committee on E-filing in the Judicial System | | |
| HI | ALL | JIMS Appellate | | |
| HI | ALL | District Court - JIMS Project | | Efiling - http://www.courts.state.hi.us/legal_references/efiling.html Court forms - http://www.courts.state.hi.us/self-help/courts/forms/court_forms.html |

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|--------------|----------------------------|-----------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|
| IA | ALL | Electronic Document Management System (EDMS) Project | None at this time. | https://www.iowacourts.state.ia.us/EFile/ |
| idaho | all | Court Technology Committee transition to ISTAR ENTERPRISE | | |
| IL | ALL | e-filing survey | | |
| IN | ALL | Electronic Filing-Court Survey | | |
| KS | ALL | E-Filing Project | http://www.kscourts.org/Kansas-Courts/E-filing/default.asp | |
| LA | All | Louisiana Supreme Court e-filing | | |
| LA | JEFFERSON | E-FILING | | |
| LA | Many | Louisiana First Circuit E Filing Project | | |
| LA | Northern 20 Parishes of LA | Second Circuit Court of Appeal | No system yet | |

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|--------------|---------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ME | All Maine Counties (16) | eFiling Maine | | |
| MI | Antrim, Grand Traverse and Leelanau | 13th Circuit Court Pilot Project | | www.13thcircuitcourt.org |
| MI | Macomb County | E-Filing Pilot Project in the 16th Circuit Court | http://courts.mi.gov | http://www.macombgov.org/circuitcourt/efile.htm |
| MI | Wayne | eFiling Civil Division | | |
| MN | ALL | eCourt MN | | |
| MO | Supreme Court of Missouri, Three Appellate Courts and the 11th Judicial Circuit | Missouri eFiling System | All public information about electronic filing in Missouri can be found by going to www.courts.mo.gov/efiling | Please find information about the Missouri eFiling System on the 'Your Missouri Courts' website and selecting Electronic Filing or going directly to www.courts.mo.gov/efiling |
| MT | All | Montana Statewide E-filing | | |
| ND | ALL | E-FILE & SERVE | | http://www.ndcourts.gov/cle/ |
| NE | ALL | E-filing | | |

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|--------------|------------------|---------------------------------------------|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| NH | ALL | NH e-Court Project | | |
| NM | ALL | New Mexico File & Serve | http://www.nmcourts.gov/efiling/ | Same address as given above: http://www.nmcourts.gov/efiling/ |
| NV | NV | EFiling | | |
| OH | ALL | Case and Document Management System (C/DMS) | | |
| OR | All | Electroinc Filing | | |
| PA | Appellate courts | Appellate Court e-filing system (PACFile) | | |
| PA | Chester | Civil eFiling | | |
| Pa | Delaware | Delaware County Civil/Family E-Filing | | Still in planning stages.. AMCAD is vendor, please see it's website. |
| PA | Lehigh | Lehigh County Civil EFiling Pro9ject | | |
| PA | Montgomery | E-Filing Survey | | |
| PA | Philadelphia | CLAIMS | | www.fjdclaims.phila.gov |
| RI | ALL | CMS efiling | efiling not done yet | efiling not done yet |
| SD | all | South Dakota E-filing | | We will be using Tyler Technologies Odyssey File and Serve client. |

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|--------------|-----------------|-----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| TX | ALL | Statewide eFiling | | |
| Utah | All | Electronic court records system | http://www.utcourts.gov/efiling/ http://www.utcourts.gov/ocap/ http://www.utcourts.gov/main/services.html http://www.utcourts.gov/selfhelp/ http://www.utcourts.gov/efiling/docs/eFiling_FAQ-Attorneys.pdf http://www.utcourts.gov/efiling/docs/Electronic_Filing_Guide.pdf | |
| VA | All | E-Filing | | |
| WA | ALL | We are not e-filing at this time. | | |
| WI | ALL | E-filing | http://wicourts.gov/supreme/docs/0608petitionamend.pdf | http://wicourts.gov/ecourts/efilecircuit.htm |

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Appendix VIII: ATJ View of Involvement of Advocates in E-Filing Projects

No, but legal aid programs through the State Bar Legal Services Committee have been able to provide input in the process.

Not to my knowledge

The State Bar of has proposed Uniform Electronic Filing Rules, with the Bar's Board of Governors adopting a resolution in support of its proposed rules.

State district courts do not currently utilize e-filing. I contacted the Administrative Director of the Courts. She indicates that they are still in the planning phase and will consult with all stakeholders.

The Chair is on the planning committee and their staff keep the Access staff updated.

No. However, along with the general public, the Commission - through a subcommittee submitted comments to a set of proposed guidelines.

Will be involved in planning.

Not that I am aware of

No.. The Court administrators office created the e-filing system with the quasi-public/quasi-private system. E-filing is not mandatory and is only available to attorneys.

Not that I know of

I served on the e-filing task force for domestic and juvenile court and raised issues for pro-se litigants. At this writing, pro-se litigants are exempt from e-filing and continue to file paper pleadings.

Unknown

Courts have been building a completely new case management system for the past year, to standardize as much as possible, court processes for each of the 77 counties. State no longer has an Access to Justice Commission; however, members of the judiciary who were active in pursuing the former Commission have been heavily involved and have made it a priority to address access issues, including pro se litigants. E-filing is scheduled to begin sometime in 2013 in a few smaller counties

Yes, a member of the ATJ Commission and chair of the SRL Committee, is also working on electronic filing. She and I have discussed the impact of e-filing on SRLs and she is working to ensure that it is both accessible to SRLs and that they are also able to file manually.

No official involvement from the ATJ Commission or equivalent body.

State allows individual counties to set their own procedures, in compliance with broad and general standards set by the Supreme Court. The ATJ has had little involvement in establishing individual counties' procedures, save for objecting to procedures that might place an undue burden on indigent or pro se litigants.

The e-filing system in place at the Supreme Court of Appeals of is for mass litigation only. There are no SRLs involved in in the mass litigation. Consequently, the ATJ Commission was not involved in the establishment of the procedures for electronic filing.

No or n/a (11)

Appendix IX: ATJ Advocates' Steps Taken to Assess Accessibility of E-Filing

Yes--preliminary, informal discussions have taken place between legal aid/ATJ Commission and the court.

One of the issues that is planned to be discussed in Access to Justice Committee, Improvements Working Group, of which respondent is co-chair.

In the current environment (i.e. no uniform statewide approach), each state court system (superior, state, probate, magistrate, Supreme, Appeals) has its own e-filing procedures and rules. There are some common themes among the several approaches. The standard approval of motions to proceed in forma pauperis have been problematic - an issue that predates e-filing- but one that becomes important as a bright line for waiving electronic filing fees.

no being used yet and will be done in stages.

No formal survey. e-filing is only in federal court. Personnel at the courthouse are happy to help self represented litigants e-file

not yet; too early in the process

We have had numerous issues and we have attempted conversations formal and informal with the court administrations about services to pro se litigants.

We raised the issues of required credit cards and e-mail accounts which SRL's might not have.

Regarding the general Court e-filing system: There should be an assessment to determine effect on pros/self represented. All our staff time is devoted to representing clients. We have no time to assess Common Pleas e-filing. If e-filing were implemented in Municipal Courts, County Courts and in Domestic Relations Court, we would need to find staff resources to try to assess and protect pro se/self-representation.

E-filing in latter planning and design phases. Legal Aid has remained informed as to the progress of the design and implementation but has not been directly involved in the development. Pro se's will be able to paper-file or use the e-filing system.

Staffer is working on this issue for the ATJ Commission.

So far, our e-filing system is just planned. The ATJ Commission has been made aware of the system but since it is not up and running, we can't evaluate it yet.

No. However, even a lawyer cannot efile a low-income pltf's affidavit to waive fees. These must be filed at the courthouse on paper.

Yes. We have been studying the existence and accessibility of electronic filing and records systems throughout State. We are currently seeking an ABA grant that would allow us to study how to ensure some level of uniformity and accessibility across our counties.

No. The project is too new and is not available in all counties yet. The topic has not been considered by our access to justice commission yet. The system is optional for litigants and counties at this point. In case you are interested, here is a link to the e-filing project.

No or n/a (14)

Appendix X: Actual or Potential Problems Identified by ATJ Respondents

State has an e-filing program that will become mandatory for attorneys in October 2012. Self-represented litigants do not use e-filing and will not be required to follow the new e-filing rules. Yes. There is not currently a mechanism for SRLs to use the system.

Filing fee waivers

My sense is that there is no uniform process for access by self-represented individuals. There are different systems for appellate and federal courts, and the lower level courts are still arguing over E-filing.

Not specifically. But the systems are sufficiently complex that I am sure any pro se person would have problems. My sense is that there is no uniform process for access by self-represented individuals. There are different systems for appellate and federal courts, and the lower level courts are still arguing over E-filing.

State does not have a unified court system. As uniform rules have not been adopted as yet, Yes. See written comments submitted separately not yet; too early in the process

I am not sure how they use it at this point, as you have to have a login and password to access the system.

SRLs would need simple instructions. The Court would also have to establish a system for SRL's to register to be able to file. Currently, attorneys have to be registered and approved to file, and you use your state bar number to log in.

Difficult to understand directions to register in order to e-file

Yes. Pro se litigants are required to e-file for themselves in a City Justice Court. They are discouraged from filing fee waivers. They are told by the court staff that they and the self-help center at the justice court does not have fee waivers. They must leave the court and come to our office for the fee waivers. They must have email accounts prior to filing. Many do not and are told to leave and go to the law library to set up an account. Rejects are sent to the email account, sometimes. It can take up to a week for the clerk's office to review the filing. Filings are rejected for seemingly small issues, including judgment calls that should be made by the court instead of the clerk. Rejects can cause people to not meet SMJ timelines. People have been evicted waiting for filings to be reviewed. I could go on and on.

The only issue is the delay caused by rejection of indigency affidavits which waive prepayment of court costs. Since the judges have different standards, there's no way to predict if a waiver will be allowed in a given case. This affects both SRL's and legal aid cases, since we must then look to the clients, and pro bono attorneys must do the same.

E-filing is problematic for pro se/self representation. The system in named County does not have the features that the federal courts have to assist pro se litigants

Delay in docketing their pleadings, overwhelming and intimidating to SRLs

Legal Aid has not been privy to development of e-filing system.

Staffer is working on this issue for the ATJ Commission.

At present only licensed attys can efile and then only in non-domestic cases.

We have identified some general issues of access, mostly pertaining to overburdensome costs. Also, we would like to avoid situations where SRLs must obtain and file paper fee waiver forms in order to access a more efficient electronic system.

No or N/a (9)

Appendix XI: Problems for Pro Bono Cases

One potential problem we are facing for pro bono cases is how e-filing should be used in limited scope cases. Attorneys are required to e-file, while it is almost impossible for self-represented litigants to e-file. This is going to create a communication problem and confusion when some parts of the case must be handled electronically through the attorney while other parts of the case need to be communicated directly to the litigant.

Yes--there is no provision for waiver of the \$100 user registration fee or administrative fee of \$20 per case for pro bono attorneys.

Appearance fee waivers and waiver of additional filing costs.

yes. see comments

Yes. Every attorney who takes a pro bono case must have a "pro bono" account attached to their account. If not, they are charged fees. Sometimes filing are rejected because a clerk doesn't see the statement of legal aid representation establishing pro bono. A clerk has rejected filing because she didn't see that it was pro bono. This all causes delay and items not file stamped when they should.

The only issue is the delay caused by rejection of indigency affidavits which waive prepayment of court costs. Since the judges have different standards, there's no way to predict if a waiver will be allowed in a given case. This affects both SRL's and legal aid cases, since we must then look to the clients, and pro bono attorneys must do the same.

Legal Aid has not been privy to development of e-filing system.

Staff is working on this issue for the SCATJ Commission.

Efiling requires payment for the e-filing itself to one of the e-filing intermediaries. E-filing also requires payment to the court for complaints, counterclaims, etc.

As of yet, we can only point out the general problem of increased costs for pro bono lawyers (created by our multiplicity of electronic systems - or lack thereof - across counties) making it economically unfeasible for lawyers to accept very many pro bono cases.

No, N/A, etc (18)

Appendix XII: Problems for Legal Aid Programs with E-filing Systems

No. The legal aid programs have expressed that e-filing makes their job much easier, and they can serve clients more efficiently than they could before

Yes--there is no provision for waiver of the \$100 user registration fee or administrative fee of \$20 per case for legal aid attorneys.

Appearance fee waivers and waiver of additional filing costs.

Payment issues, Affidavit issues, and if system is down, which happens more than occasionally, the Court has not paper copies, and hearing are cancelled.

We had problems with filing with a poverty affidavit. Fees were automatically paid on filing without regard to the poverty affidavit. We were able to work out a fairly simple arrangement with the court, but it would be helpful to have some more automated way to take care of cases when a poverty affidavit is filed. I am sure this is a problem for pro se filers as well.

Other than the high cost of bankruptcy software we use to e-file.

yes. see comments

not yet; too early in the process

We did at first (having to pay for filing fees and later having them waived) but the problems seem to be fixed.

Initially we had an issue filing in forma pauperis, but we addressed it with state website and resolved the problem in short order.

Not yet

We experience some of the same above problems, but have been able to make calls to court staff and get them resolved prior to anyone being evicted. Attorney staff have gone in person to the clerk's office to argue about rejected filings in order to meet statutory time lines.

See #10--if IFP is rejected, we must look to the clients for payment and sometimes it takes time for the client to come up with the court costs. System is a little cumbersome. Without paper files, legal aid attorneys must go to the courthouse to review files on which they are not yet attorneys of record. In addition, we can't afford to have i-pads like private attorneys; they can access the electronic files when they are in the courtroom, but we can't do so.

Its more work for law offices initially. It may balance out over time.

cost of software licensing for required software in order to use the program

Legal Aid has not been privy to development of e-filing system.

Staffer is working on this issue for the ATJ Commission.

Largely the same as in question 10, except that our concern here is that legal aid groups, who must incur such costs in any case, will be forced to spend money on dealing with various county electronic systems rather than on personnel or resources.

No, NA, etc (10)

Appendix XIII: Attempts by ATJ Community to Improve E-Filing

Not at the moment

We are working with several other organizations and agencies to address the issue we are facing with limited scope representation. At this point there are not solid plans to implement an e-filing system for self-represented litigants.

Legal Services partnered with the court's automation project and their vendors in submitting a grant application for the funding of a first-ever pro se e-filing form, but the grant request was declined. System has been put on hold while the court selects a new vendor. Part of the need for a change in vendor was addressing the need to waive or defer filing fees.

Will be subject of discussion in CCP ATJ Committee

We have gotten a change relating to the poverty affidavit. We are generally aware of the efforts of the State Bar and the Clerks' Authority to try to come to agreement, but there is no way for us to participate in that fight.

Not at this time

We are only providing input at this point.

No

unsure where we will go from here

I just call the Supreme Court and relay our concerns so that they can accommodate our program better.

Not at this time. We are curious to hear about other states or court systems that allow SRLs to e-file!
not yet

Not yet

Yes. Through meetings, phone calls, letters, etc. We can only seem to resolve our clients or the pro se litigants we are personally seeing.

No

We continue to serve on every pilot project as the various divisions of the court move to e-filing. We try to place staff members on all work groups and task forces. In addition, the court seeks our input and listens to our comments, so we are very fortunate.

Not at present.

n/a

Legal Aid continues to communicate with those involved in the process and attempting to become involved at every opportunity. Legal Aid has been appointed to the standardized forms process and will do everything possible to raise issues and focus planning on issues that may impact self-represented litigants and legal aid or pro bono cases.

Staffer is working on this issue for the SCATJ Commission.

No but the Commission will monitor the program once it is up and running.

Still waiting for the Administrative Office of the Courts to make domestic e-filing possible.

We are trying to study State's unique mixture of autonomous counties and electronic systems. We would like to develop a set of best practices that would encourage a basic level of functionality in all e-systems, and a uniform standard of accessibility across all counties.

Not at this point. If there are suggestions to make the system mandatory, then I suspect that the Commission would want to take a look at it.

No changes are being attempted for the current e-filing program which is only being used for mass litigation.